## UOB Kay Hian "Chinese New Year Promotion 2017" 3 January 2017 to 28 February 2017 Terms and Conditions

- 1. The UOB Kay Hian ("UOBKH") Chinese New Year Promotion 2017 ("Promotion") is only applicable to online trades done by UOBKH clients. UOBKH staff and trading representatives are excluded from this Promotion.
- 2. This Promotion will be held from 3 January 2017 to 28 February 2017. There will be two Monthly Draws (January 2017 and February 2017) and a Grand Draw.
- 3. The Monthly Draw for January 2017 and Monthly Draw for February 2017 will be conducted on 8 February 2017, 6.30pm and 8 March 2017, 6.30pm respectively or such other dates and time as determined by UOBKH. Location for both Monthly Draws will be at 8 Anthony Road, UOB Kay Hian's Auditorium or other public location as determined by UOBKH.
- 4. The Grand Draw will be conducted on 8 March 2017, 6.30pm or such other dates as determined by UOBKH. Location for Grand Draw will be at 8 Anthony Road, UOB Kay Hian's Auditorium or other public location as determined by UOBKH.
- 5. Prizes as follows:

Prizes	Trade Rebates
Grand Draw, First Prize	S\$2,888
Grand Draw, Second Prize	S\$1,888
Grand Draw, Third Prize	S\$888
Grand Draw, 'Prosperity' Prize (10 winners)	S\$188 each
Grand Draw, 'Fortune' Prize (20 winners)	S\$88 each
Monthly Draw, 'Wealth' Prize (5 winners each month)	S\$28 each
Monthly Draw, 'Lucky' Prize (5 winners each month)	S\$18 each

- 6. Under this Promotion:
  - a. Only online trades executed with UOBKH in SGX, Bursa Malaysia, HKEx and the US markets between 3 January 2017 and 31 January 2017 will qualify for the January Monthly Draw. Only online trades executed with UOBKH in SGX, Bursa Malaysia, HKEx and the US markets between 1 February 2017 and 28 February 2017 will qualify for the February Monthly Draw.
  - b. Only online trades executed with UOBKH in SGX, Bursa Malaysia, HKEx and the US markets between 3 January 2017 and 28 February 2017 will qualify for the Grand Draw. Winners of the Monthly Draw will be eligible for the Grand Draw.
  - c. Online trades are defined as trades executed on UOB Kay Hian's online trading services, UTRADE Web or UTRADE Mobile.
  - d. Every online trade will represent one chance in each Draw. Every trade executed via UTRADE Mobile will earn an additional chance in each Draw.

- e. The trade rebates will be credited into the client's UTRADE account respectively by 31 March 2017 and will be used to offset their future trades.
- f. The eligible securities account types are: retail individual, retail joint account, corporate account, margin account, UTRADE Edge and UTRADE Plus accounts.
- 7. Winners will be picked randomly by computerized means in the presence of members of public. If UOBKH subsequently determines that a winner is not eligible for any reason, it may forfeit the prize and deal with it in any manner deemed fit in its sole and absolute discretion. UOBKH shall also be entitled to draw a replacement winner(s) to replace any winner(s) subsequently found to be ineligible.
- 8. UOBKH will inform the winners via post using the contact details in its records and such notification shall be effective and deemed to have been received by the winners. All prizes are non-transferable and may not be exchanged in any format.
- 9. Clients will be disqualified from the Promotion if their accounts with UOBKH are closed before 28 February 2017.
- 10. Clients will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
- 11. UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
  - a. The client failed to pay UOBKH for his/her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotional period.
  - b. The client is not in good standing. If the client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.
- 12. UOBKH reserves the right to terminate or suspend this Promotion for any reason whatsoever and to reveal client details to relevant authorities for the purposes of the Promotion.
- 13. UOBKH reserves the right in its absolute discretion to terminate, vary, and add to any of these terms and conditions, at any time without prior notice without having to disclose any reason therefore and without any compensation whatsoever.
- 14. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH clients and no correspondence will be entertained. In the event of any inconsistency between these terms and conditions and any marketing material relating to this Promotion, these terms and conditions will prevail.

15. UOBKH's records of all matters relating to the Promotion is conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice to suspend the calculation, to rectify any errors in calculation, or otherwise adjust such calculation.