UOB KAY HIAN PRIVATE LIMITED

8 Anthony Road #01-01 Singapore 229957

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Website: www.uobkayhian.com Bus Reg: 197000447W



| Name of Corporation :Compa | any Reg No.: |
|--|---------------------------------------|
| Trading Account No. (s) : | · · · · · · · · · · · · · · · · · · · |
| Common Reporting Standard (CRS) Controlling Person Tax Residency Self-Certification | on Form |
| Please read these instructions before completing the form. | |
| Regulations based on the OECD Common Reporting Standard ("CRS") require UOB Kay Hian P report certain information about certain account holder's controlling person(s) tax residence. | te Ltd ("UOBKH") to collect and |
| You can find summaries of defined terms such as account holder, controlling person, and other to of the defined terms can be furnished upon request. | erms at the OECD website. A copy |
| As a financial institution, we are not allowed to give tax advice. If you have any questions or status, please visit the OECD website: http://www.oecd.org/tax/automatic-exchange/ or speak to | |
| Part 1 – Controlling Person Details (All fields are mandatory) | |
| Name as in NRIC/Passport | |
| | |
| | |
| | |
| | |
| | |
| Postal Code City / State Country | |
| | |
| Mailing Address (if different from Residential Address) | |
| | |
| | |
| | |
| Postal Code City / State Country | |
| | |
| Date of Birth (DD/MM/YYYY) NRIC/Passport No. | |
| Sale of Birth (Berwin 1111) | |
| | |
| Nationality | |
| Town / City of Dirth | |
| Town / City of Birth Country of Birth | |
| Please indicate the legal name(s) of the Entity Trading Account(s) that is/are held with UOBI Person: | KH of which you are a Controlling |
| No. Legal Name of Entity Trading Account | Trading Account No. |
| Entity 1 | |
| Entity 2 | |
| Entity 3 | |

Part 2 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

- 1. If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:
 - Reason A The country/jurisdiction where the Controlling Person is a resident does not issue TINs to its residents
 - **Reason B -** The Controlling Person is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the table below if you have selected this reason)

Reason C - No TIN is required as the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction

| | Country(ies) /Jurisdiction(s) of Tax Residence | TIN | If no TIN available, indicate Reason <i>A, B or C</i> |
|----|---|-----|--|
| 1. | | | |
| 2. | | | |
| 3. | | | |

2. Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

| No. | Country(ies) /Jurisdiction(s) of Tax Residence | Reason(s) |
|------|---|-----------|
| i. | | |
| ii. | | |
| iii. | | |

Part 3 - Type of Controlling Person

| Part 3 – Type of Controlling Person | | | |
|--|----------|----------|----------|
| Please provide the Controlling Person's Status by ticking the appropriate box. | Entity 1 | Entity 2 | Entity 3 |
| a. Controlling Person of a legal person – control by ownership | | | |
| b. Controlling Person of a legal person – control by other means | | | |
| c. Controlling Person of a legal person – senior managing official | | | |
| d. Controlling Person of a trust – settlor | | | |
| e. Controlling Person of a trust – trustee | | | |
| f. Controlling Person of a trust – protector | | | |
| g. Controlling Person of a trust – beneficiary | | | |
| h. Controlling Person of trust – other | | | |
| i. Controlling Person of a legal arrangement (non-trust) – settler-equivalent | | | |
| j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent | | | |
| k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent | | | |
| I. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent | | | |
| m. Controlling Person of a legal arrangement (non-trust) – other-equivalent | | | |

Part 4 - Declarations and Signature

I understand that the information contained in this form and information relating to UOBKH account(s) may be provided to the Singapore tax authority and exchanged with tax authorities of other country or countries pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise UOBKH immediately of any change in circumstances which affects my tax residency status or causes the information contained herein to become incorrect or incomplete, and to provide UOBKH with a suitably updated self-certification.

| Dated this | _ day of | 20 | | | |
|-----------------------------|----------|---------------------|--|--|--|
| | | | | | |
| | | | | | |
| Signature: | | <u></u> | | | |
| Name of Controlling Person: | | | | | |
| For Office Use Only | | | | | |
| Client Accounts | | <u>DMU</u> | | | |
| Reviewed By/ Date: | | Data Entry By/Date: | | | |

Checked By/Date: