

UOB Kay Hian Daily Leverage Certificates (“DLC”) Challenge 2019 Promotions

1 July 2019 to 31 August 2019

Terms and Conditions

1. The UOB Kay Hian (“UOBKH”)
 - DLC Challenge 2019 New Securities Account Promotion is applicable only to DLC Challenge 2019 participants (non-existing clients) who open securities trading accounts with UOBKH.
 - DLC Trading Promotion is applicable only to UOBKH clients.
2. These promotions will be held from 1 July 2019 to 31 August 2019.
3. Please note that UOBKH remisiers and dealers are excluded from these promotions.
4. Clients participating in these promotions will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients have entered into with UOBKH.

A. DLC Challenge 2019 New Securities Account Promotion

5. This promotion is open to participants of the DLC Challenge 2019. Participants of the DLC Challenge 2019 who are non-clients of UOBKH can enter in this promotion by opening a securities trading account with UOBKH. Only retail individual account type will be eligible.
6. Under this promotion:

Markets applicable	Singapore, Hong Kong, Malaysia, USA
Promotion mechanism	<ol style="list-style-type: none"> 1. Receive 50% commission rebate when you trade any of the markets applicable. Commission rebate is capped at S\$200. 2. Securities trading account must be opened between 1 July 2019 and 31 August 2019. 3. Only trades executed within 30 calendar days from date of account opened will be eligible for calculation of commission rebate. 4. Clients will pay for the full commission chargeable during the promotion period. The commission rebate will be calculated and credited into the clients’ trust account in September 2019.
Commission chargeable (online execution only)	The respective board rates for the markets applicable apply.

7. New account opening can be done at UOBKH at 8 Anthony Road #01-01. Alternatively, the new account application form may be submitted on behalf of the new client by a UOBKH Trading Representative after it has been duly completed.

B. DLC Trading Promotion

8. This promotion is open to UOBKH retail clients. The investor must be an individual who has an online securities trading account with UOBKH. Only retail individual account type will be eligible.
 - Participants of DLC Challenge 2019 who do not have securities trading accounts with UOBKH can participate in this promotion by opening a securities trading account with UOBKH. UOBKH’s Master Trading Agreement, and terms and conditions for securities trading account application apply.
9. As DLCs are classified as Specified Investment Products (“SIPs”), only UOBKH clients who have cleared Customer Account Review (“CAR”) can trade DLCs and be eligible for this promotion. (Please refer to UTRADE website on CAR, or contact your UOBKH Trading Representative or UOBKH Client Services about your CAR status.)
10. Under this promotion:

Market applicable	Singapore – SGX-ST
Product applicable	Daily Leverage Certificates
Promotion mechanism	Execute 3 DLC buy orders successfully during promotion period to receive S\$50 Takashimaya shopping voucher. Each eligible client will only be entitled to 1 voucher. Vouchers will be issued to the eligible clients in September 2019.
Commission chargeable for DLC trades (online execution only)	The board rates and minimum commission apply.

11. Collection of shopping vouchers. We will notify the eligible clients who qualified for the shopping vouchers in September 2019. Collection of the shopping vouchers will be at UOB Kay Hian main office (8 Anthony Road #01-01 Singapore 229957). The eligible client will need to present the relevant proof of identity when collecting the vouchers.
12. New account opening can be done at UOBKH at 8 Anthony Road #01-01. Alternatively, the new account application form may be submitted on behalf of the new client by a UOBKH Trading Representative after it has been duly completed.

C. General

13. For these promotions, disputed trades, unauthorized or fraudulent trades will not be taken into account for the promotions.

14. UOBKH reserves the right, in its absolute discretion, to disqualify / reject a client for any reason whatsoever, including upon the occurrence of the following:
 - a. Client failed to pay UOBKH for his / her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotion period.
 - b. A change in the brokerage chargeable to the new client during the promotion period made at the request of the Trade Representative and/or the new client.
 - c. The client is not in good standing. If the client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.
15. UOBKH reserves the right to terminate or suspend the promotions for any reason whatsoever.
16. UOBKH reserves the right in its absolute discretion to terminate, vary, delete or add to any of these terms and conditions, at any time without prior notice and without having to disclose any reason therefore and without any payment or compensation whatsoever.
17. The decision of UOBKH on all matters relating to the promotions is final, conclusive and binding on all UOBKH clients and no correspondence will be entertained. In the event of any inconsistency between these terms and conditions and any marketing material relating to the promotions, these terms and conditions will prevail.
18. UOBKH's record of all matters relating to the promotions is conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice, to suspend the calculation, to rectify any errors in the calculation, or otherwise adjust such calculation.

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