UOB Kay Hian "UTRADE Hong Kong Market" Promotion 24 August 2015 to 31 December 2015 Terms and Conditions

- The UOB Kay Hian ("UOBKH") "UTRADE Hong Kong Market Promotion" ("Promotion") is applicable only to new clients opening securities trading accounts with UOBKH. UOBKH remisiers and dealers, as well as existing clients are excluded from this Promotion.
- 2. This Promotion will be held from 24 August 2015 to 31 December 2015.
- 3. To be eligible for the Promotion:
 - a. New client must not have an existing securities trading account with UOBKH.

Note: Existing UOBKH clients who open new trading accounts with other UOBKH Trading Representatives to trade Hong Kong market will not qualify for this promotion.

- b. The eligible securities account types are: retail individual, retail joint account, and corporate account.
- 4. Under this Promotion, the new client
 - a. Will be entitled to 0.18% brokerage commission for UTRADE online transactions only of counters listed on the Hong Kong Exchange; and
 - b. Will enjoy waiver of custody fee for Hong Kong stocks traded online for the promotion period.
- 5. For this Promotion, please note that
 - a. Settlement of trade is on Trade Date + 2
 - b. Minimum commission of HK\$100.00, and mandatory fees and charges, including stamp duty, transaction levy and clearing fee, apply.

- 6. Transactions made under CFD, Margin Financing facility and Unit Trust platform and Fixed Income Products will not be taken into account and are not considered for this Promotion.
- 7. Clients will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
- 8. UOBKH reserves the right, in its absolute discretion, to disqualify / reject the new client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
 - a. New client failed to pay UOBKH for his / her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotion period.
 - b. A change in the brokerage chargeable to the new client during the promotion period made at the request of the Trade Representative and/or the new client.
 - c. The new client is not in good standing. If the new client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.
- 9. UOBKH reserves the right to terminate or suspend this Promotion for any whatsoever.
- 10. UOBKH reserves the right in its absolute discretion to terminate, vary, and add to any of these terms and conditions, at any time without prior notice without having to disclose any reason therefore and without any compensation whatsoever.
- 11. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH clients and no correspondence will be entertained. In the event of any inconsistency between these terms and conditions and any marketing material relating this promotion, these terms and conditions will prevails.
- 12. UOBKH's record of all matters relating to the Promotion is conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice to suspend the calculation, to rectify any errors in calculation, or otherwise adjust such calculation.