

**UOB Kay Hian “UTRADE Hong Kong Market Online Promotion”  
3 May 2016 to 31 October 2016**

**Terms and Conditions**

1. The UOB Kay Hian (“UOBKH”) “UTRADE Hong Kong Market Online Promotion” (“Promotion”) is applicable only to new customers opening securities trading accounts with UOBKH from 3 May 2016 to 31 October 2016, where such customers also select to trade Hong Kong market online. UOBKH remisiers and dealers, as well as existing customers are not eligible for this Promotion.
2. This Promotion will be held from 3 May 2016 to 31 October 2016.
3. Customers will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
4. To be eligible for the Promotion:
  - a. “New customer” must not have an existing securities trading account with UOBKH.  
  
Note: Existing UOBKH customers who open new trading accounts with other UOBKH Trading Representatives to trade Hong Kong market will not qualify for this promotion.
  - b. The eligible securities account types are: retail individual, retail joint account, and corporate account.
5. Under this Promotion, the eligible new customer
  - a. Will be entitled to 0.18% brokerage commission for UTRADE online transactions only for counters listed on the Hong Kong Exchange; and
  - b. Will enjoy waiver of custody fee for Hong Kong stocks traded online for the promotion period.  
  
Note that the promotion brokerage commission for the eligible new customers will revert to UOBKH’s standard brokerage commission and fee charges with effect from 1 November 2016.
6. For this Promotion, please note that
  - a. Settlement of trade is on Trade Date + 2;
  - b. Minimum commission of HK\$100.00, and mandatory fees and charges, including stamp duty, transaction levy and clearing fee, apply.

7. New account opening may be done at UOBKH at 8 Anthony Road #01-01 or any UOBKH Investor Centres. Alternatively, the new account application form may be submitted on behalf of the new client by a UOBKH Trading Representative after it has been duly completed.
8. Note that transactions made under CFD, Margin Financing facility and Unit Trust platform and Fixed Income Products will not be taken into account and are not considered for this Promotion.
9. UOBKH reserves the right, in its absolute discretion, to disqualify / reject the new customer from this Promotion for any reason whatsoever, including upon the occurrence of the following:
  - a. New customer failed to pay UOBKH for his / her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotion period.
  - b. A change in the brokerage chargeable to the new customer during the promotion period made at the request of the Trade Representative and/or the new customer.
  - c. The new customer is not in good standing. If the new customer's account has been suspended temporarily or terminated due to any reason, the new customer will be disqualified from this Promotion.
10. UOBKH reserves the right to terminate or suspend this Promotion for any reason whatsoever.
11. UOBKH reserves the right in its absolute discretion to terminate, vary, delete or add to any of these terms and conditions, at any time without prior notice and without having to disclose any reason therefore and without any payment or compensation whatsoever.
12. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH customers and no correspondence will be entertained. In the event of any inconsistency between these terms and conditions and any marketing material relating to this Promotion, these terms and conditions will prevail.
13. UOBKH's record of all matters relating to the Promotion is conclusive and binding on the customers. UOBKH is entitled, for any reason and at any time, without liability or prior notice, to suspend the calculation, to rectify any errors in the calculation, or otherwise adjust such calculation.

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