

User Reference Guide to UTRADE Web – US Markets

Overview

UTRADE Web – US Markets has the following features to help you profit from markets in the US:

- Direct orders to NASDAQ, AMEX and NYSE
- Limit and Stop orders
- Watchlist and Portfolio management tools
- Company profile and news
- Free¹ real-time prices for all 3 markets

Note: All times reflected on **UTRADE Web – US Markets** are in US EST/EDT time.

¹ Subject to terms and conditions

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1. Getting Started

System Requirements

The minimum recommended system requirements for **UTRADE Web – US Markets** are:

- A Pentium class PC or equivalent
- A monitor capable of displaying a resolution of 800 x 600 in 256 colours
- 32 Mb of RAM or more
- Internet access
- A valid email account
- Microsoft Internet Explorer 5.5 and above
- Adobe Acrobat Reader

Logging in for the First Time

There are two ways to access the website:

Option 1: Select **US MARKETS** from the UTRADE website login box (www.utrade.com.sg)



Option 2: Go to the following website: <https://us.uobkayhian.com>

Both these options will lead you to a login page.

User ID: Enter your **User ID**

Password: Enter your **Login Password**

Login: Click **Go**



Note: Your account will be locked if you have exceeded 3 unsuccessful attempts. To unlock your account, you are required to enter your User ID, Birth date and NRIC number. You will then see a confirmation page notifying you that your account has been successfully unlocked. Please click on the **“login”** link and start over again with the password printed on the last PIN mailer sent to you. If you misplaced the PIN mailer, contact Client Services for assistance.

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1. Getting Started

User ID and Password Requirements

1) User ID

The **User ID** can be found in the notification letter that you have received in the mail.

2) Password (Login and Trading)

- Alphanumeric - The password must contain a mixture of alphabets and numbers.
- Character Limit - The password has a character limit of 50 and has to be at least 6 characters long
- **CASE SENSITIVE** – The password must be entered exactly into the box as it appears on the notification.

Note: For your own security, we recommend that you change your password every 3 months.

Logging Out

To log out, please click on the **logout** function on the top right-hand corner of the webpage.



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2. Main Menu

After you have logged on to our website, you will be directed to the **Market Watch** page.

There are 2 main menus on the website:

The 1st menu located on the left-hand column is known as the **left menu bar**.

The 2nd menu located on the top right of the screen is known as the **top right menu bar**.

The screenshot shows the UOB KayHian U.S. Stock Market website. The top navigation bar includes links for Home, Market Watch, Fed, and Logout. The left-hand menu bar contains links for Stock Search, UOB Quotes, TRADE, ORDER BOOK, PORTFOLIO MGMT, and ACCOUNT MGMT. The main content area features two line charts: the first for DJI - DOW JONES INDUSTRIAL AVERAGE and the second for COMPIX - NASDAQ COMPOSITE INDEX. Below the charts is a table of stock data with columns for Symbol, Name, Change (%), Var. (pt), Volume, Last, and Time Updated.

Symbol	Name	Change (%)	Var. (pt)	Volume	Last	Time Updated
LIJ	LUCKINT TECH INC	-1.74%	-0.06	34,255,600	2.30	10/12/2004 8:50:50 PM
CPH	CALPINE CP	-4.92%	-0.13	26,927,600	2.91	10/12/2004 8:59:31 PM
PFE	PFIZER INC	-1.48%	-0.45	22,707,200	29.06	10/12/2004 9:00:12 PM
VIA.B	VIACOM INC CL B	-0.09%	-0.00	20,818,500	34.43	10/12/2004 9:02:23 PM
MRK	MERCK CO INC	0.26%	0.11	17,180,400	30.85	10/12/2004 8:59:16 PM
EMC	E M C CP	-2.54%	-0.31	13,906,500	11.91	10/12/2004 8:56:34 PM
TXN	TEXAS INSTRUMENTS	-2.7%	-0.59	12,909,900	21.30	10/12/2004 9:02:07 PM
GLW	CORNING INC	-2.27%	-0.24	12,446,800	10.34	10/12/2004 8:57:19 PM
HT	HORTEL HTWS CR HLDG	1.10%	0.04	12,439,600	3.34	10/12/2004 8:59:46 PM
STT	STATE STREET CP	-0.23%	-2.69	12,343,300	41.16	10/12/2004 9:01:38 PM

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2. Main Menu

Left Menu Bar

1. Trade

There are 5 sub menu categories that are essential to your trading activities

- Watchlist
- Place order
- Cancel Order
- MultiQuotes
- Market Indices

2. Order Book

There are 3 sub menu categories that are essential to checking your trade status

- Today's Orders
- Pending Orders
- Executed Orders

3. Portfolio Mgmt

These are 4 sub menu categories that you can view your shareholdings, and transactions

- Portfolio
- Trade History
- Virtual Portfolio
- Outstanding Positions

4. Account Mgmt

These are 3 sub menu categories that you can use to customize your password and sign up additional features

- Change Login Password
- Change Trading Password
- Cash Balance

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3. Watchlist Menu

Our **Watchlist** provides a quick retrieval on quotes for your favourite counters. It enables you to monitor up to 5 lists consisting up to 15 counters per list. Your **Watchlist** is updated each time you visit the page or refresh the list.

To change a Watchlist Name

Click on **Customize Watchlists Names** option from the left menu bar. Check the boxes next to the **Watchlist** you wish to rename, and in the box, type in the new **Watchlist** name. Confirm entries by clicking on **Update Names**.

The screenshot shows the UTRADE web interface. On the left is a vertical menu with categories: TRADE, ORDER BOOK, PORTFOLIO MGMT, and ACCOUNT MGMT. Under TRADE, 'Watchlist' is selected, and 'Customize Watchlists Names' is highlighted. The main area contains two panels. The top panel, 'Customize Watchlist Names', has a table with 5 rows. The first row is selected (checkbox checked) and has 'fav' in the text box. The other rows have 'list2', 'list3', 'list4', and 'list5'. Below the table are 'Update Names' and 'Delete Selected' buttons. The bottom panel, 'Add New Watchlist', has a 'List' label, a text box, and an 'Add New Watchlist' button.

To Add / Remove Watchlist Counters

To add counters to **Watchlist**, fill in the ticker symbol and click on **Add**.
 To remove counters from the **Watchlist**, simply fill in the ticker symbol and click on **Remove**.

WatchLists [Account: uobtest]				
fav		list2		
<input type="text"/>	<input type="button" value="Add"/>	<input type="button" value="Remove"/>	<input type="button" value="Refresh"/>	
Symbol	Name	Open	Last	Ch
▼ AGR.A	AGERE SYSTEMS A	1.18	1.14	-0

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3. Watchlist Menu

The **Watchlist** is not just a customized listing of certain counters. It contains other useful information.

Symbol: Displays the ticker symbol for the stock. You can place an order by clicking on the ticker symbol of the stock.

Name: Displays the name of the stock. You can place an order by clicking on the name of the stock.

Open: The opening price for the day

Last: Displays the last done price.

Change %: Displays price change between previous closing and last done price in percentage terms.

Bid: Displays the current buy price.

Bid Qty: Displays the current buy volume.

Ask: Displays the current sell price.

Ask Qty: Displays the current sell volume.

Volume: Displays the volume of shares executed.

Low: Displays the lowest price execution of the day.

High: Displays the highest price execution of the day.

PrevClose: Displays the closing price for the previous trading day.

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4. Search Order / Place Order Menu

Stock Symbol / Name Search

Go to the search function located on the top left side of the webpage.



Stock Search: Choose to search counters either by **Symbol** or **Name** by clicking on the drop box.
Search by Name: If you are searching by name, simply type in the name of the company. E.g. Intel
Search by Symbol: If you know the ticker symbol, simply type in the ticker symbol. E.g. INTC

Click on **GO** when you are done

If you select search by **Name**, this will prompt a window showing you your search results.
 If you select search by **Symbol**, information of the stock you wish to trade will be displayed.

Search by Name Results		Tue, 1 Nov 2011 5:15:16 AM
COYLF	INTELLIGENT	
CNCN	CINTEL CORP	
FILM	INTELEFILM CORP	
ILTBF	INTELLECTA AB B	
ITIG	INTELLIGROUP INC	
INTC	INTEL CORPORATION	
ICMC	INTELLIGENT COMMUNI	
INTB	INTELLIGENT BUYING	
ITLI	INTELLIGENTIAS INC	
IXMD	INTELMAX MEDIA INC	
SVFC	INTELLICELL BIOSCI	
IGXT	INTELEXN TECHS CORP	
ITTI	INTELLECTUAL TECH INC	
ILNS	INTELLECT NEUROSCIENCES	
ILVC	INTELLIGENT LIVING CP NEW	
IMIIQ	INTELLIGENT MED IMAG INC	
IDN	INTELLICHECK MOBILISA, INC. COMMON STOCK	
IPCI	INTELLIPHARMACEUTICS INTERNATIONAL INC.	
INS	INTELLIGENT SYSTEMS CORPORATION COMMON STOCK	
NIVS	NIVS INTELLIMEDIA	

Next Page

Click on the relevant symbol or company name and the information of the stock you wish to trade will be displayed.

Notes:

- The following types of orders are not represented in the market:
 - order execution is not guaranteed
 - order certified execution is not in multiples of 100, the order of which is less than 100.
- Although there is no artificially established maximum number of bids for the US markets, please keep that order prices for all market prices may be rejected.
- All orders are only accepted for 9:30 and 16:00 local time.
- If you wish to affect payment by Wire or SW, please select SW only.
- If you wish to affect payment from your Trade Account or change your settlement price, please contact your Trading Representative.

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4. Search Order / Place Order Menu

Buying / Selling a Stock

The place order function is located on the right side of the page.

Account	uobtest
Action	<input type="radio"/> Buy <input type="radio"/> Sell
Symbol	<input type="text" value="INTC"/>
Quantity	<input type="text"/>
Type	<input type="text" value="Limit"/>
Price	<input type="text"/>
Stop Price	<input type="text"/>
Fill	<input type="text" value="ANY"/>
Validity	<input type="text" value="Day"/>
Settlement Currency	<input type="radio"/> USD <input type="radio"/> SGD
<input type="button" value="Place Order"/> <input type="button" value="Reset"/>	

Action: Select either **Buy** or **Sell** for your orders

Symbol: The symbol should already have been automatically filled

Quantity: Enter the quantity of stocks you wish to trade. (min 1 share)

Type: Select the type of order you wish to do. You have a choice of 2 types: 1) Limit or 2) Stop Limit

Price: Enter your order price

Stop Price: Enter stop price if you have selected a stop limit order

Fill: Select **ANY** or **AON** fill type

Validity: Only **Day** validity is available

Settlement Currency: You can choose to settle your trades in USD or SGD. If you wish to effect payment via EPS or GIRO, please select SGD

Check that the symbol, quantity and price is correct and click “Place Order”

Note: Please refer to **Order Details** on types of orders, fill types and validity

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4. Search Order / Place Order Menu

Preview Order	
Transaction	Buy
Quantity	100
Symbol	INTC
Order Type	Limit
Limit price	17.20 USD
Commission	0.00
Fill Type	ANY
Validity	DAY
Currency Against	USD

Please enter your trading password for confirmation

***|

Confirm Order

You will then see a confirmation page. If all the details are correct, key in your **Trading Password** and click on **I confirm this order**.

Placed Order	Tue, 28 Sep 2004 2:34:42 AM
Transaction	Buy
Quantity	100
Symbol	INTC
Order Type	Limit
Limit price	17.20 USD
Commission	0.00
Fill Type	ANY
Validity	DAY
Currency Against	USD

This order has been accepted under number: **DD011566**, and will be sent to the NASDAQ exchange.

Please note that number for future reference.

Once your order has been received onto our system, you will see the above message stating that your order has been accepted.

Note: Acceptance of an order does not in any way indicate that the order is executed. Please check the **Order Book** to view the status of your order.

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5. Check Order Status (Order Book)

The order book has 3 sub menus that will display the orders and their statuses placed during the trading day, pending orders and executed orders.

TRADE

ORDER BOOK

Today's Orders														
Order Ref	B/S	Qty	Symbol	Price	C/E Time	Last Quote	Status	AGN	GTC	Sett	Curr.	Value (USD)	Cancel	C/R
DD011568	Buy	10	IBM	75.2000	9/29/2004 2:36:38 AM	84.48	B	ANY	Day	USD	752.00		<input type="checkbox"/>	<input type="button" value="CR"/>
											Total	\$752.00		

Enter your trading password to confirm cancellation:

1. Today's Orders

Display orders placed during the trading day.

2. Pending Orders

Display orders not executed.

3. Executed Orders

Display orders that are executed, up to T+3.

Orders displayed here will remain for T+3 days before it is reflected in "Portfolio".

Note: The information will not be available after T+3.

Order Status

The **legend** of the order status can be found at the bottom of the **Order Book** page.

E = executed	R = refused by the server
W = waiting for execution	B = waiting to be sent
A = waiting for cancellation	P = partially executed
C = cancelled by you	G = waiting for cancel replace
	Q = cancel request rejected

Note: Transaction costs are not included on the **Order Book** pages.

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5. Check Order Status (Order Book)

Cancel Order

You can cancel your open orders from the Cancel Orders or Pending Orders page.

		All Open														
TRADE		Order Ref	B/S	Qty	Symbol	Price	C/E Time	Last Quote	Status	AON	GTC	Sett	Curr.	Value (USD)	Cancel	C/R
ORDER BOOK		DD011545	Buy	1	AWE	1.0000	8/2/2004 1:48:36 PM	14.79	B	AON	GTC	SGD	1.00	<input type="checkbox"/>		
Today's Orders		DD011557	Buy	1	Q	1.0000	9/22/2004 11:40:56 AM	44.31	B	ANY	Day	SGD	1.00	<input type="checkbox"/>	GR	
Pending Orders		DD011568	Buy	10	IBM	75.2000	9/29/2004 2:36:38 AM	84.48	B	ANY	Day	USD	752.00	<input checked="" type="checkbox"/>	GR	
Executed Orders		DD011558	Buy	1	INTC	1.0000	9/23/2004 3:52:18 PM	19.68	B	ANY	Day	USD	1.00	<input type="checkbox"/>	GR	
		DD011556	Buy	1	INTC	1.0000	9/21/2004 11:23:46 AM	19.68	B	ANY	Day	USD	1.00	<input type="checkbox"/>	GR	
PORTFOLIO MGMT		DD011547	Buy	1	MSFT	1.0000	8/17/2004 11:42:06 AM	27.27	B	ANY	Day	USD	1.00	<input type="checkbox"/>	GR	
ACCOUNT MGMT		DD011553	Buy	1	Q	1.0000	9/14/2004 4:06:28 PM	3.1	B	ANY	Day	USD	1.00	<input type="checkbox"/>	GR	
													Total	\$758.00		
Enter your trading password to confirm cancellation: *****															Cancel	

Step 1: Select the orders that you want to cancel by checking the appropriate boxes

Step 2: Enter your **Trading Password**

Step 3: Click on **Cancel**

Note: The system only allows an order to be cancelled if it has not been fulfilled. If your order is partially executed, you may cancel the remaining unfulfilled quantity.

Cancel Order Wed, 29 Sep 2004 3:47:52 AM

Your Cancel Orders Result:
DD011568: Order DD011568 has been canceled.

« Back to Orders

Confirmation of the cancellation will appear.

Note: When the market is open, you will receive a message telling you the request for cancellation has been received. Please return to **Order Book** to check on your order status. The order status will change to **A** then to **C**.

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5. Check Order Status (Order Book)

Cancel Replace (CR)

You can now amend your trades with the **Cancel Replace Function**. This function allows the system to cancel an existing order and replace it with the new trade for the same counter.

Note: Cancel Replace can only be used for DAY orders.

All Open													
Order Ref	B/S	Qty	Symbol	Price	C/E Time	Last Quote	Status	ADN	GTC	Sett Curr.	Value (USD)	Cancel	C/R
DD011545	Buy	1	AWE	1.0000	8/2/2004 1:48:36 PM	14.79	B	AON	GTC	SGD	1.00	<input type="checkbox"/>	
DD011557	Buy	1	Q	1.0000	9/22/2004 11:40:56 AM	44.31	B	ANY	Day	SGD	1.00	<input type="checkbox"/>	<input type="button" value="CR"/>
DD011568	Buy	10	IBM	75.2000	9/29/2004 2:36:38 AM	84.48	B	ANY	Day	USD	752.00	<input type="checkbox"/>	<input type="button" value="CR"/>
DD011558	Buy	1	INTC	1.0000	9/23/2004 3:52:18 PM	19.68	B	ANY	Day	USD	1.00	<input type="checkbox"/>	<input type="button" value="CR"/>
DD011556	Buy	1	INTC	1.0000	9/21/2004 11:23:46 AM	19.68	B	ANY	Day	USD	1.00	<input type="checkbox"/>	<input type="button" value="CR"/>
DD011547	Buy	1	MSFT	1.0000	8/17/2004 11:42:06 AM	27.27	B	ANY	Day	USD	1.00	<input type="checkbox"/>	<input type="button" value="CR"/>
DD011553	Buy	1	Q	1.0000	9/14/2004 4:06:28 PM	3.1	B	ANY	Day	USD	1.00	<input type="checkbox"/>	<input type="button" value="CR"/>
Total											\$758.00		

Enter your trading password to confirm cancellation:

The **Cancel Replace Function** is on the right hand side of the **Order Book**. Select the order you wish to amend by clicking on the **CR** button.

Preview Cancel Replace

Account	uobtest
Order Reference:	DD011558
Symbol:	INTC
Transaction:	BUY
Number of shares:	<input type="text" value="1"/>
Order Type:	<input type="text" value="Limit"/>
Limit Price:	<input type="text" value="1"/>
Stop Price:	<input type="text"/>
Fill Type:	<input type="text" value="ANY"/>
Validity:	<input type="text" value="Day"/>
Currency:	
<input type="button" value="Cancel Replace"/>	

You can amend the following fields:

- Number of shares
- Order Type
- Price (Limit and Stop Price)
- Fill Type

Check that the amended fields are correct and click on **Cancel Replace**.

Note: For **Cancel Replace**, a new order supercedes the existing order but the order reference number remains the same.

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5. Check Order Status (Order Book)

A **Preview Cancel Replace** of the order will appear. Type in your **Trading Password** and click on **I confirm this order**.

Preview Cancel Replace

Account	uobtest
Order Reference	DD011558
Transaction	Buy
Quantity	10
Symbol	INTC
Order Type	Limit
Limit price	1 USD
Validity	DAY
Currency	

Please enter your trading password for confirmation

A **Cancel Replace Confirmation** will appear. Please click on **Back to Portfolio** to check on your order.

Cancel Replace Confirmation

Account	uobtest
Order Reference	DD011558
Transaction	Buy
Quantity	10
Symbol	INTC
Order Type	Limit
Limit price	1 USD
Validity	DAY
Currency	

Order successfully cancel replaced.

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6. Portfolio Management

Portfolio enables you to maintain a list of your stocks, view your trade history and monitor the value of your transactions.

Portfolio

Portfolio enables you to maintain a list of your stocks that you purchase with UOB Kay Hian and to monitor them.

Executed trades are reflected here after T+3. Stocks' information displayed here is marked to market.

TRADE

ORDER BOOK

PORTFOLIO MGMT

- Portfolio
- Trade History
- Virtual Portfolio
- Outstanding Positions

ACCOUNT MGMT

Portfolio							Wed, 29 Sep 2004 4:51:24 AM
Settled Positions							
Symbol	Quantity	Avg Cost	Last Quote	Gain/Loss %	Gain/Loss Amt	Value (USD)	
<input checked="" type="radio"/> C	1	1.00	44.31	4331.00%	43.31	44.31	
Total						\$44.31	

options position equity position

Note:
 Portfolio Mgmt is an indicative tool of the holdings transacted over UOB KayHian online. Extreme care should be taken by clients to ensure the correct number of shares are traded in event of stock splits and reverse splits. There may be delays in updating the shareholdings in your portfolio. Kindly contact the Client Services Department to verify your holdings.

Trade History

Trade History shows executed trades that have been settled. It is displayed according to months.

TRADE

ORDER BOOK

PORTFOLIO MGMT

- Portfolio
- Trade History
- Virtual Portfolio
- Outstanding Positions

ACCOUNT MGMT

History							Wed, 29 Sep 2004 4:57:02 AM
2004							
September							
Date	Type	Quantity	Symbol	Name	Cur. Amount		
13-9-2004	REC	1	c	CITIGROUP INC	1.00		

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6. Portfolio Management

Virtual Portfolio

This tool lets you create a **Virtual Portfolio** to give you potential profit and loss scenarios.

TRADE **Virtual Portfolio** Wed, 29 Sep 2004 5:01:44 AM

ORDER BOOK

PORTFOLIO MGMT

Portfolio

Trade History

Virtual Portfolio

Outstanding Positions

ACCOUNT MGMT

Symbol	Quantity	Cost	Market
<input type="text" value="lu"/>	<input type="text" value="100"/>	<input type="text" value="2.20"/>	<input type="text" value="US"/>
<input type="button" value="Add"/>		<input type="button" value="Delete"/>	

Date	Symbol	Stock Name	Qty	Cost	Price	Gain %	
9/23/2004	IBM	INTL BUSINESS MACH	10	50.00	84.48	+68.96 %	<input type="button" value="Delete"/>
9/29/2004	INTC	INTEL CORPORATION	10	19.00	19.68	+3.58 %	<input type="button" value="Delete"/>
Total:				690.00	1041.60	50.96 %	

Step 1: Click on **Virtual Portfolio**

Step 2: Enter ticker symbol, quantity and the price

Step 3: Click on **Add** or **Delete** to include or remove stock

Outstanding Positions

Outstanding Positions let you view a summary of trades that are not settled yet.

TRADE **Outstanding Positions** Wed, 29 Sep 2004 5:08:16 AM

ORDER BOOK

PORTFOLIO MGMT

Portfolio

Trade History

Virtual Portfolio

Outstanding Positions

ACCOUNT MGMT

Order Ref	Buy/Sell	Qty	Symbol	Price	Exec Date/Time	Net Amt	Commission	SEC	GST	Total Amt	Sett. Curr.
DD011546	Buy	1	CSCO	1.0000	6/17/2004 11:00:00 AM	1.00	25.00	.00	1.25	27.25	USD
DD011551	Buy	100	AWE	1.0000	9/14/2004 11:25:00 AM	100.00	25.00	.00	1.25	126.25	USD
DD011554	Sell	550	CSCO	19.7300	9/15/2004 1:03:00 PM	10,851.50	43.41	.26	2.18	10,897.35	USD

Note:
 All figures shown are indicative, and will not take into consideration of special promotions or reduced rates.
 All figures shown are in US dollar
 The above information is available up to T+3

All figures shown on this page is in USD, and indicative.

If you have chosen to settle your transactions in SGD, please refer to your contract note or contact the Client Services Officers during office hours for more details.

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7. Account Management

Account Management allows you to keep track of various aspects of your trading account.

- TRADE
- ORDER BOOK
- PORTFOLIO MGMT
- ACCOUNT MGMT
 - Change Login Password 
 - Change Trading Password 
 - Cash Balances 
 - Signup for UOB Streamer 

Change Password

It is advisable that the **Login Password** and **Trading Password** be changed periodically and kept separately. A combination of alphabets and numerals are recommended.

Change Login Password		Wed, 29 Sep 2004 5:13:07 AM
Current login password	<input type="password"/>	*
New login password	<input type="password"/>	*
Confirm new login password	<input type="password"/>	*

- Step 1:** Enter your current password
- Step 2:** Enter new password
- Step 3:** Re-enter new password to confirm
- Step 4:** Click on **Change Password**

Cash Balance

Cash Balance reflects the trading limits for the day.

Cash Balances		Wed, 29 Sep 2004 5:15:54 AM
Available trading limit in USD		\$299,884.00

Note: Trading limits for the US Market can only be adjusted from 8:30am to 5:30pm Singapore time. Please contact your trading representative if you require any assistance.

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8. Order Details

Types of Orders

Sell Market Order

A Sell Market Order is an order for immediate execution to sell, at the best available price when the order reaches the marketplace. A market order assures execution but not price.

Note: It is not possible to cancel a market order once it's been placed during trading hours.

Example: Paul wants to sell 100 shares of stock ABCD. Paul is not really concerned with the price, he just wants to make sure he sells the stock NOW. On the trade page, Paul places the sell market order by clicking the sell button and entering 100 shares in the quantity field. Since this is a market order, he leaves the price blank, and selects market order. After he confirms his order, it is routed and filled at the best price available. Paul now has sold 100 shares of ABCD stock.

Limit Order

This is a order to execute a trade at a specified price (the limit) or better. A limit order is different from a market order - a limit order guarantees a price, but does not guarantee an execution. An important characteristic of a limit order is that it is always executed at your limit price, or better. And "or better" means better for you-either lower on a buy or higher on a sell.

Note: Stop Limit Orders are not accepted on AMEX. Please enter only Limit orders when placing trades on AMEX.

Example: Let's say a stock you've been following closed yesterday at \$50. This morning there's a favorable earnings report out, and you decide it's time to buy 100 shares. Others will be placing orders to buy the stock as well, which will likely cause the stock to open at something higher than \$50. If you place a limit order to buy the stock at \$55, and when it opens for trading it's available for \$52, your order will be filled at \$52-a better price for you than the 55 limit you entered. This is what "or better" means, and it is always assumed to be part of a limit order.

Stop Limit Order

With a stop limit order there are two price components specified-the activation price and the limit price. When the stock reaches the activation price, or trades through it, the order converts to a limit order and is executed at the limit (or better, if available.) There is no assurance that the limit order will be executable since a stock might very well penetrate the stop and the limit, in which case the risk of not being filled arises, just as with a regular limit order.

Example:

Sell Stop Limit

You own 100 shares of ABCD, which is currently trading at \$100 per share. You would like to sell ABCD as soon as the price drops to \$95 (the activation price), but you do not want to sell for less than \$92. Your order will be activated when the bid price reaches or goes below \$95. At this point the order becomes a limit order to sell 100 shares of ABCD for \$92 or better.

Buy Stop Limit

You want 100 shares of ABCD, which is currently trading at \$100 per share. You would like to buy ABCD as soon as the price reaches \$105 (the activation price), but you do not want to pay more than \$108 per share. Your order will be activated when the ask price reaches or goes above \$105. At this point the order becomes a limit order to buy 100 shares of ABCD for \$108 or better.

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8. Order Details

Sell OTC (Over-The-Counter) Order

A Sell OTC Order is only offered to those who have stocks bought through UOB Kay Hian and subsequently traded on OTC.

Example: Paul has been trading stock ABCD, and has in his holdings 100 shares of the stock when ABCD gets delisted from the mainboard and transferred to OTC. Paul can now only sell his shares of ABCD with the "Sell Order".

Note: For all the Sell Orders, you must own the shares before you can sell them.

In Summary:

	Limit Order	Stop Limit Order
Guaranteed	Price	Price (when activated)
Not guaranteed	Execution	Execution (when activated)
Price field	Key in limit price	Key in limit price
Stop price field	-	Key in activation price

Fill Types

ANY

ANY fill type would allow your order to be filled in different quantities. E.g. you placed an order to buy 3,000 LU shares at \$1.63 LIMIT. Your order may be filled in the following to complete your order:

1,000 at \$1.63

500 at \$1.63

400 at \$1.63

200 at \$1.63

900 at \$1.63

Note: Your order may be partially filled if the market moves away from your limit price.

AON

AON as the fill type suggests, your order will be filled in its entirety or not at all. It indicates that you do not wish to complete a portion of your trade if all the shares are not available.

To select ANY or AON fill type, simply click on the drop box on the field "Fill" on your place order screen and select "ANY" or "AON".

Note: Market and limit orders with ANY fill type take priority over AON fill type. Your order may not be executed even though the market traded the price you indicated.

User Reference Guide to UTRADE Web – US Markets

8. Order Details

Validity

Day Orders

Day orders are valid until the end of the trading day. If you enter your order at or after 5pm (US Eastern Time) on a trading day, the order will remain open until 4pm (US Eastern Time) of the following trading day unless it is filled or cancelled. Unfulfilled day orders will expire at the end of the trading day. If you want the same order for the next day, you must place it again.

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9. Others

Research

Company information and news are available to clients on the upper left-hand corner on the place order page.

Stocklookup for E Sun, 7 Feb 2010 10:05:27 PM

News
Profile
Detailed Chart
Advanced Charts

CITIGROUP INC (E: NYSE) [Refresh]
28:00
Quotes are up to 15-20 minutes delayed.

Price ▲ 3.22 Change 0.04 (1.24%)
Volume 467,501,492
Previous Close 3.18 Open Price 3.21
Low of Day 3.15 High of Day 3.24

E - CitiGroup Inc Feb 25, 2010 10:00PM
3.2200 - 3.0100 (Delayed) 9:30AM - 4:00PM
© Orbis Systems, Inc.

10:00AM 12:00PM 2:00PM
■ C ■ Previous Day's Close ■ Volume

Intraday - 1 month - 3 month - 6 month - 1 year - 2 years

Account: uobtest
Action: Buy Sell
Symbol:
Quantity:
Type: Limit
Price:
Stop Price:
Fill: ANY
Validity: Day
Settlement Currency: USD SGD
Place Order Reset

For a quick guide on how to place order, click here.

Note:
1. As of 16 October 2004, AOH orders must be placed in quantities between 100 to 10,000.
2. Orders with AOH fill type will only be accepted by NYSE and NASDAQ Markets, Orders for AMEX with AOH fill type will be rejected.
3. If you wish to effect payment by Giro or EPS please select SGD only.
4. If you wish to effect payment from your Trust Account or change your settlement policy, please contact your Trading Representative.

News: Real time news about the company as they are released to the market.

Profile: A brief overview of the company, where they are located and their main business.

Detailed Chart: Shows a large intraday chart

Advanced Chart: An interactive chart with indicators and chart types to perform technical analysis

User Reference Guide to UTRADE Web – US Markets

Assistance and Feedback

For general assistance, enquiries, password resetting, order cancellation or if you wish to offer your feedback, please feel free to contact our friendly Client Services Officers at **+65 6536 9338** or cs@uobkayhian.com.

Operating hours for US Markets related queries (Singapore time):

10.00pm – 2.00am

9.00pm – 1.00am (from second Sunday of March till first Sunday of November)

Disclaimer

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