

B. EXPERT INVESTOR (as defined in Section 4A(1)(b) of the Securities and Futures Act (Cap. 289) being a person:

- whose business involves the acquisition and the disposal, or the holding, of capital markets products, whether as principal or agent

Supporting Proof Required:

- Copy of name card
- Copy of letter of employment stating position (dated within 3 months)

C. INSTITUTIONAL INVESTOR (as defined in Section 4A(1)(c) of the Securities and Futures Act (Cap. 289)

- i. a bank that is licensed under the Banking Act (Cap. 19);
- ii. a merchant bank that is approved as a financial institution under section 28 of the Monetary Authority of Singapore Act (Cap.186);
- iii. a finance company that is licensed under the Finance Companies Act (Cap. 108);
- iv. a company or society registered under the Insurance Act (Cap. 142) as an insurer;
- v. a company licensed under the Trust Companies Act 2005 (Act 11 of 2005);
- vi. the Government;
- vii. a statutory body established under any Act;
- viii. a pension fund or collective investment scheme;
- ix. the holder of a capital markets services licence for (a) dealing in securities; (b) fund management; (c) providing custodial services for securities; (d) real estate investment trust management; (e) securities financing; or (f) trading in futures contracts;
- x. a person (other than an individual) who carries on the business of dealing in bonds with accredited investors or expert investors;

ACKNOWLEDGMENT

- I/We hereby declare that all information provided above and all documents that are to be provided are correct, true and accurate and I/we have not willfully withheld any material fact.
- I/We will also endeavour to notify UOBKH immediately should there be any changes in my/our circumstances which would result in a change of investor status.

Dated this _____ day of _____ 20 _____

For Individual / Joint Account

Signature : _____ Signature of Joint Account : _____
Name of Client : _____ Name of Client : _____
NRIC/Passport : _____ NRIC/Passport : _____

For Corporate Account (please affix company's stamp)

Signature : _____ Signature : _____
Name of Authorised Signatory : _____ Name of Authorised Signatory : _____
NRIC/Passport : _____ NRIC/Passport : _____
Designation: : _____ Designation: : _____

For Office Use Only

Signature Verified By / Date

Data Entry By / Date