

For Corporate

I, the authorised signatory of _____ (please fill in corporation's name) (the "Corporation"), confirm that the Corporation wishes to be treated as an accredited investor as defined in section 4A of the SFA. The Corporation acknowledges and accepts that, having opted in to accredited investor status, this treatment will apply to all of the Corporation's transactions with you. The Corporation's basis for opting to be treated as an accredited investor is that its:

- (a) net assets exceed S\$10,000,000 (or its equivalent in a foreign currency) as determined by (a) its most recent audited \ balance-sheet or (b) its balance-sheet certified by the Corporation as giving a true and fair view of its state of affairs as of the date of the balance-sheet (which date is within the twelve (12) months preceding the date of submitting this form); or
Supporting documents required:
- *a copy of the Corporation's most recent audited balance-sheet; or*
 - *a copy of the Corporation's balance-sheet that is certified by the Corporation as giving a true and fair view of its state of affairs as of the date of the balance sheet (to be dated within no earlier than 12 months before the date of submitting this form).*
- (b) entire share capital is owned by one or more persons, all of whom are accredited investors as defined in section 4A of the SFA.
Supporting documents required:
- *a copy of the Corporation's business profile; or*
 - *a declaration of the Corporation's shareholding structure.*

Important Information

We are required under Singapore law to provide you with certain information before you make a decision on whether you wish to be treated as an accredited investor as defined in section 4A of the Securities and Futures Act (Cap. 289) (the "SFA"). Accordingly, we hereby inform you that:

- (a) where you have been assessed by us to be an "accredited investor" as defined in section 4A of the SFA based on the information you have provided to us;
- (b) you may, but are not obliged to, consent to being treated by us as an accredited investor for the purposes of the statutory provisions set out in regulation 3(9) of the Securities and Futures (Classes of Investors) Regulations 2018; and
- (c) if you consent to the treatment outlined in paragraph (b) above, you may withdraw your consent at any time, upon which we will cease to treat you as an accredited investor.

Please note that being treated as an accredited investor means, among other things, that you will be deemed to have more knowledge and the ability to understand and manage the risks of the financial products that you choose to invest in. This means that we are allowed to assume that you have a certain level of understanding of financial products, including collective investment schemes, and are not obliged to determine your precise level of understanding of such products. We are also allowed to assume that you have sought independent advice prior to purchasing or participating in any financial instrument or investment. In addition, when you hold certain financial instruments or participate in certain activities, you will be afforded fewer statutory protections and remedies than retail investors.

Where you are purchasing capital markets products offered pursuant to section 275 or 305 of the SFA, you should be aware that the restrictions and prohibition on subsequent transfers of those products will apply to you. Pursuant to regulation 33 and 34 of the Financial Advisers Regulations, you acknowledge that we have no obligation to disclose all material information relating to designated investment products nor have a duty to determine suitability of the recommendations provided to you.

General Warning

Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.

ACKNOWLEDGMENT FOR ACCREDITED INVESTOR

I/We confirm that I/we have read the above in full and:

- (a) know and understand the consequences of consenting to being treated by you as an accredited investor;
- (b) consent to being treated by you as an accredited investor; and
- (c) know that I/we may at any time withdraw my/our written consent to the treatment outlined in paragraph (b) above, upon which you will cease to treat me/us as an accredited investor when you receive my/our written instruction.

In completing, signing, and returning this form to you, I/we represent and warrant that the information and confirmations I/we have provided in this form, and all information contained in this document and any other documentation that I/we provide to you, are complete, true, and accurate. If there is any change in circumstances relating to my/ours (i) net personal or financial asset holdings or income that may affect any of my/our declarations and representations set out above or (ii) status as an accredited investor under Singapore law such that I/we cease to be an accredited investor at any time, I/we undertake to inform you within 14 working days of such change in circumstances. If such changes cannot be rectified, I/we acknowledge and accept that you have full discretion to decide to refrain from treating me/us as an accredited investor.

For Joint Account Holder whose basis for opting to be treated as accredited investor is that he/she holds a joint account with the accredited investor and who has consented to be treated as an accredited investor.

I confirm that I wish to be treated as an accredited investor as defined in section 4A of the SFA. I acknowledge and accept that, having opted in to accredited investor status, this treatment will apply to all of my transactions with you in respect of dealings through my joint account with the accredited investor.

B EXPERT INVESTOR (as defined in Section 4A of the Securities and Futures Act (Cap. 289) being a person:

- whose business involves the acquisition and the disposal, or the holding, of capital markets products, whether as principal or agent

Supporting Proof Required:

- Copy of name card
- Copy of letter of employment stating position (dated within 3 months)

C INSTITUTIONAL INVESTOR (as defined in Section 4A of the Securities and Futures Act (Cap. 289)

- i. a bank that is licensed under the Banking Act (Cap. 19);
- ii. a merchant bank that is approved as a financial institution under section 28 of the Monetary Authority of Singapore Act (Cap.186);
- iii. a finance company that is licensed under the Finance Companies Act (Cap. 108);
- iv. a company or co-operative society that is licensed under the Insurance Act (Cap. 142) to carry on insurance business in Singapore;
- v. a company licensed under the Trust Companies Act (Cap. 336);
- vi. the Government;
- vii. a statutory body as may be prescribed by regulations made under section 341;
- viii. a pension fund or collective investment scheme, whether constituted in Singapore or elsewhere;
- ix. the holder of a capital markets services licence for (a) dealing in securities; (b) fund management; (c) providing custodial services for securities; (d) real estate investment trust management; (e) securities financing; (f) trading in futures contracts; (g) leveraged foreign exchange trading; or (h) advising in corporate finance.
- x. an approved exchange;
- xi. a recognised market operator;
- xii. an approved clearing house;
- xiii. a recognised clearing house;
- xiv. a licensed trade repository;
- xv. a licensed foreign trade repository;
- xvi. an approved holding company;
- xvii. a Depository as defined in section 81SF;
- xviii. a person (other than an individual) who carries on the business of dealing in bonds with accredited investors or expert investors;
- xix. a central bank in a jurisdiction other than Singapore;
- xx. a central government in a country other than Singapore;
- xxi. an agency (of a central government in a country other than Singapore) that is incorporated or established in a country other than Singapore;

- xxii. a multilateral agency, international organization or supranational agency as may be prescribed by regulations made under section 341;
- xxiii. an entity that is wholly and beneficially owned, whether directly or indirectly, by a central government of a country and whose principal activity is:
 - (A) to manage its own funds;
 - (B) to manage the funds of the central government of that country (which may include the reserves of that central government and any pension or provident fund of that country); or
 - (C) to manage the funds (which may include the reserves of that central government and any pension or provident fund of that country) of another entity that is wholly and beneficially owned, whether directly or indirectly, by the central government of that country;
- xxiv. any entity –
 - (A) that is wholly and beneficially owned, whether directly or indirectly, by the central government of a country; and
 - (B) whose funds are managed by an entity mentioned in xxiii;
- xxv. an entity or a trust formed or incorporated in a jurisdiction other than Singapore, which is regulated for the carrying on of any financial activity in that jurisdiction by a public authority of that jurisdiction that exercises a function that corresponds to a regulatory function of the Authority under this Act, the Banking Act (Cap. 19), the Finance Companies Act (Cap. 108), the Monetary Authority of Singapore Act (Cap. 186), the Insurance Act (Cap. 142), the Trust Companies Act (Cap. 336) or such other Act as may be prescribed by regulations made under section 341;

ACKNOWLEDGMENT FOR EXPERT INVESTOR / INSTITUTIONAL INVESTOR

1. I/We hereby declare that all documents that are to be provided are correct, true and accurate and I/we have not willfully withheld any material fact.
2. I/We will also endeavour to notify UOBKH immediately should there be any changes in my/our circumstances which would result in a change of investor status.

Dated this _____ day of _____ 20_____

For Main Account Holder

For Joint Account Holder

Signature	:	_____	Signature of Joint Account	:	_____
Name of Client	:	_____	Name of Client	:	_____
NRIC/Passport	:	_____	NRIC/Passport	:	_____

For Corporate Account (please affix company's stamp)

Signature	:	_____	Signature	:	_____
Name of Authorised Signatory	:	_____	Name of Authorised Signatory	:	_____
NRIC/Passport	:	_____	NRIC/Passport	:	_____
Designation:	:	_____	Designation:	:	_____

<u>For Office Use Only</u>	
Signature Verified By / Date _____	Data Entry By / Date _____