CSOP ETF Promotion 2021 25 June 2021 to 31 December 2021 Terms and Conditions

- 1. The UOB Kay Hian ("UOBKH") CSOP ETF Promotion 2021 ("Promotion") is only applicable to UTRADE Equities clients trading online.
- 2. This Promotion will be held between 25 June 2021 and 31 December 2021, both dates inclusive ("Promotion Period").
- 3. The eligible securities account types are: retail individual account and retail joint account.
- 4. Corporate/Institutional, UTRADE PLUS, UOBKH trading representatives and staff accounts are not eligible for this Promotion.
- 5. Under this Promotion:
 - a. Only the following CSOP ETFs are eligible for rebates.

NAME	CODE
CSOP FTSE China A50 ETF (HKD)	2822
CSOP MSCI China A Inclusion Index ETF (HKD)	3149
CSOP Bloomberg Barclays China Treasury + Policy Bank Bond Index ETF (HKD)	3199
CSOP SZSE ChiNext ETF (HKD)	3147
CSOP Hang Seng TECH Index ETF (HKD)	3033
CSOP Yinhua CSI 5G Communications Theme ETF (HKD)	3193
CSOP STAR 50 Index ETF (HKD)	3109
CSOP Hang Seng Index ETF (HKD)	3037
CSOP Global Cloud Computing Index ETF (HKD)	3194
CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134

- b. The minimum brokerage for each trade must be HK\$100 to be eligible for rebate. The rebate amount will be HK\$100 per trade and the maximum rebate per client is capped at HK\$500.
- c. Clients will enjoy up to a maximum of HK\$500 rebate regardless of the number of accounts held with UOBKH.
- d. Only the first 100 clients who meet the above criteria are eligible for rebate.
- e. Rebate will be credited to client's account 1 month after the promotion ends.

- 6. This Promotion is not valid in conjunction with other promotions offered by UOBKH.
- 7. Clients will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients may have entered into with UOBKH.
- 8. UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
 - a. The client failed to pay UOBKH for his/her executed trade(s) and/or has outstanding payment to UOBKH during the promotional period.
 - b. The client is deemed by UOBKH as not in good standing. If the client's account has been suspended temporarily or terminated by UOBKH due to any reason, the client will be disqualified from this Promotion
- 9. UOBKH reserves the right to suspend, withdraw or terminate this Promotion at any time for any reason whatsoever and without notice. UOBKH shall not be liable to any person for any loss or damage howsoever arising in connection with the Promotion.
- 10. All participants in the Promotion expressly and irrevocably permit and authorise UOBKH to disclose, reveal and divulge information regarding their particulars to the parties involved in facilitating the promotional campaign. Further, UOBKH reserves the right to reveal clients' details, to the relevant authorities if it is requested to do so.
- 11. UOBKH reserves the right in its absolute discretion to add to, delete, vary, modify and/or supplement any one or more of these Terms and Conditions, at any time without prior notice, in such manner as UOBKH shall deem fit, including without limitation, the eligibility of any customer, product and market, the qualifying criteria and the promotional period, without assigning any reasons therefore and without any compensation whatsoever or being liable for any claims, costs, expenses, losses or damages suffered by any person as a result of the aforementioned matters or arising from these Terms and Conditions.
- 12. The decision of UOBKH on all matters relating to the Promotion and these Terms and Conditions shall be final, conclusive and binding on all UOBKH clients who participate, including, without limitation, any decision on the eligibility of any person or cancellation or suspension of the Promotion and no correspondence or appeal will be entertained.
- 13. In the event of any inconsistency between these Terms and Conditions and any marketing material relating to this Promotion, these Terms and Conditions will prevail.
- 14. These Terms and Conditions shall be governed by the laws of Singapore. UOBKH clients participating in the Promotion will irrevocably submit to the non-exclusive jurisdiction of the courts of Singapore. A person who is not a participant of this

Promotion shall have no right under the Contracts (Rights of Third Parties) Act (Cap 53B) to enforce any of these Terms and Conditions.