

## Exchange Traded Funds (ETFs) Promotion 4 May 2020 to 31 July 2020 Terms and Conditions

1. The UOB Kay Hian ("UOBKH") Exchange Traded Funds ("ETFs") Promotion ("Promotion") is only applicable to clients trading online with UOBKH.
2. This Promotion will be held from 4 May 2020 to 31 July 2020.
3. The eligible securities account types are: retail individual account and retail joint account.
4. Corporate/Institutional, UOBKH trading representatives and staff accounts are not eligible for this Promotion.
5. Under this Promotion:
  - a. Clients are entitled to five chances when they invest in any of the selected ETFs between 4 May 2020 and 31 July 2020 (both dates inclusive).

Qualifying Criteria	Normal Trades	Selected ETFs
Every S\$100 Brokerage	1 Lucky Draw Chance	5 Lucky Draw Chances

- b. The eligible ETFs include:
        - iShares Barclays USD Asia High Yield Bond Index ETF
        - Lion-Phillip S-REIT ETF
        - NikkoAM E-Games Active ETF
        - Nikko AM Global Internet ETF
        - Nikko AM Singapore STI ETF
        - NikkoAM-StraitsTrading Asia ex Japan REIT ETF
        - Phillip SGX APAC Dividend Leaders REIT ETF
        - Phillip SING Income ETF
        - SPDR Dow Jones Industrial Average ETF
        - SPDR Gold Shares
        - SPDR Straits Times Index ETF
        - SPDR S&P 500 ETF
6. The prevailing Terms and Conditions on the 50<sup>th</sup> Anniversary Promotion shall apply.

7. Clients will be disqualified from this Promotion if their accounts with UOBKH are closed before 31 July 2020.
8. Clients will continue to be bound by UOBKH Master Trading Agreement and the Terms and Conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
9. UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
  - a. The client failed to pay UOBKH for his/her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotional period.
  - b. The client is not in good standing. If the client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.
10. UOBKH reserves the right to terminate or suspend this Promotion for any reason whatsoever and to reveal client details to relevant authorities for the purposes of the Promotion.
11. UOBKH reserves the right in its absolute discretion to terminate, vary, and add to any of these Terms and Conditions, at any time without prior notice without having to disclose any reason therefore and without any compensation whatsoever.
12. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH clients and no correspondence will be entertained. In the event of any inconsistency between these Terms and Conditions and any marketing material relating to this Promotion, these Terms and Conditions will prevail.
13. UOBKH's records of all matters relating to the Promotion are conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice to suspend the calculation, to rectify any errors in calculation, or otherwise adjust such calculation.