

U O B K A Y H I A N P R I V A T E L I M I T E D

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## REQUEST FOR TRADING IN NORTHBOUND CHINA CONNECT SHARES

CLIENT NAME

JOINT ACCOUNT HOLDER NAME

MAIN ACCOUNT HOLDER'S NRIC / PASSPORT NO. / CO. REG. NO.

JOINT ACCOUNT HOLDER'S NRIC / PASSPORT NO.

LEGAL ENTITY IDENTIFIER (LEI) NO.

PLACE OF INCORPORATION

TRADING ACCOUNT NUMBER / TR CODE

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I/We wish to trade in Northbound China Connect Shares. In order to trade in such shares, I/we acknowledge and agree that it is necessary to tag each of my/our orders submitted to the China Stock Connect System with a Broker-to-Client Assigned Number (BCAN) and to provide to the Hong Kong Exchanges and Clearing Limited (the 'Exchange') my/our assigned BCAN and such identification information relating to me/us as the Exchange may request from time to time under its rules.

I/We acknowledge and agree to UOB Kay Hian Pte Ltd to process my/our personal data, use or transfer my/our identification information to the Exchange and the relevant subsidiaries of The Stock Exchange of Hong Kong Limited as set out in the 'Personal Information Collection Statement Concerning Northbound China Connect Orders'. This document can be viewed on <http://www.utrade.com.sg>. A printed copy can also be made available upon request.

### Applicable to Financial Institution

We hereby declare that the main account and all sub accounts of our institution are opened for the following purpose: (Please tick only ONE)

- Funds       Proprietary Trading

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_.

**Note: For Joint Accounts, both Account Holders are required to sign.**

\_\_\_\_\_  
 Signature of Main Account Holder  
 Name:

\_\_\_\_\_  
 Signature of Joint Account Holder  
 Name:

\_\_\_\_\_  
 Authorised Signature with Company Stamp Affixed  
 Name of Authorised Signatory:  
 Name of Company:

\_\_\_\_\_  
 Authorised Signature with Company Stamp Affixed  
 Name of Authorised Signatory:  
 Name of Company:

For Office Use Only

\_\_\_\_\_  
 Signature Verified By / Date

\_\_\_\_\_  
 Data Entry By / Date

\_\_\_\_\_  
 Checked By / Date