

U O B K A Y H I A N P R I V A T E L I M I T E D

Member : Singapore Exchange Securities Trading Limited

8 Anthony Road #01-01 Singapore 229957

Tel : 6535 6868 Fax : 6532 6919

Website : www.uobkayhian.com Bus Reg : 197000447W

ONLINE TRADING FACILITY ACTIVATION - INDIVIDUAL/JOINT ACCOUNT

FULL NAME (AS IN SECURITIES TRADING ACCOUNT)

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TRADING ACCOUNT NUMBER / TR CODE

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NRIC / PASSPORT NO. / CO. REG. NO.

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EMAIL (MANDATORY) (THIS EMAIL WILL BE USED FOR FUTURE CORRESPONDENCES AND WILL SUPERSEDE ALL PREVIOUS EMAIL ADDRESSES THAT YOU MAY HAVE FURNISHED WHEN YOU ESTABLISHED A TRADING ACCOUNT WITH US)

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IF YOU ALREADY HAVE AN EXISTING ONLINE ACCOUNT, KINDLY INDICATE IF YOU NEED A NEW PASSWORD YES NO

INTERNET TRADING ACCESS FOR SIN, M'SIA, HK, SE-HK & SZ-HK MARKETS U.S. MARKET (W8-BEN FORM IS MANDATORY)

Note: For Joint Accounts, both Account Holders are required to sign.

* To expedite your application, please submit a copy of your NRIC.

DECLARATION

1. I/We hereby declare that all information provided in this application is correct, true and accurate and I/we have not willfully withheld any material fact.
2. By accessing the Internet Trading facility provided by UOB Kay Hian Pte Ltd ("UOBKH"), I/we agree to the "Terms and Conditions Governing Internet Trading", a copy of which is made available for my/our perusal, on the Internet Trading website of UOBKH. I/we also accept that the terms and conditions as stated on the "Terms and Conditions Governing Internet Trading" may be revised from time to time.
3. I/We acknowledge that I/we have read, understood and agree to the terms set out in the "Master Trading Agreement" ("MTA") and "Guide and Cautionary Notes" ("GCN") governing my/our trading account with UOBKH, and also accept that the terms of the MTA and GCN may be revised from time to time.
4. I/We acknowledge that I am/we are required to pass, or be exempted from, a Customer Account Review and/or Customer Knowledge Assessment to be deemed as having the relevant knowledge and/or experience to understand the risks and features of SIPs in order to trade Specified Investment Products ("SIP"s) through my trading account with UOBKH. (SIPs include, but are not limited to, ETFs and covered warrants listed on any exchange.)
5. I/We acknowledge that I/we will receive all my/our monthly statements and contracts electronically.
6. I/We hereby declare that I am/we are not an undischarged bankrupt.

SIGNATURE OF MAIN ACCOUNT HOLDER

NAME:

DATE:

SIGNATURE OF JOINT ACCOUNT HOLDER

NAME:

DATE:

FOR OFFICE USE ONLY

TO BE COMPLETED BY TRADING REPRESENTATIVE

TRADING LIMITS	TR RECOMMENDED		APPROVED	
	BUY	SELL	BUY	SELL
	'000	'000	'000	'000
SIN MARKET (S\$)				
MSIA MARKET (S\$)				
HK MARKET (HK\$)				
US MARKET (US\$)				

AGREED AND ACCEPTED BY:

NAME OF TR

TR CODE

SIGNATURE OF TR / DATE

SIGNATURE OF CREDIT MANAGER / DATE

CLIENT ACCOUNTS DEPARTMENT

SIGNATURE VERIFY BY / DATE

REVIEWED BY / DATE

ACCOUNT ENROLLED BY / DATE

To: Data Management Unit (DMU)

COLLECTION OF NEW PASSWORD / USER ID

I/We.....(Name)
of(NRIC/Passport/ROC No) hereby authorise my/our Trading
Representative(Name) to collect my/our
Password/User ID on my/our behalf and I/We undertake to be fully responsible and will not hold UOB Kay
Hian Pte Ltd liable for any unauthorised transactions in my/our account as a result of this authorisation.

Signature of Main/Joint Account holder

(Authorised Signature with Company Stamp Affixed)
Name of Authorised Signatory:
Name of Company:

A/C Number(s) :
(if applicable)

<u>For Office Use Only</u>		
_____ Signature Verified By/Date	_____ Input By/Date: Special Indicator 2: (PD)	_____ Checked By/Date

INSTRUCTIONS FOR W-8BEN FORM COMPLETION

▪ Complete the sections mentioned below and leave other fields blank.

▪ No alterations or correction fluid is accepted. Any amendment will lead to rejection of form.

▪ Please complete a separate form for joint account holders.
▪ Submit the form via email to: contact@ustrade.com.sg

Form W-8BEN (Rev. July 2017) Department of the Treasury Internal Revenue Service	Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals) ► For use by individuals. Entities must use Form W-8BEN-E. ► Go to www.irs.gov/FormW8BEN for instructions and the latest information. ► Give this form to the withholding agent or payer. Do not send to the IRS.	OMB No. 1545-1621
Do NOT use this form if:		Instead, use Form:
• You are NOT an individual		W-8BEN-E
• You are a U.S. citizen or other U.S. person, including a resident alien individual		W-9
• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services)		W-8ECI
• You are a beneficial owner who is receiving compensation for personal services performed in the United States		8233 or W-4
• You are a person acting as an intermediary		W-8IMY
Note: If you are resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.		
Part I Identification of Beneficial Owner (see instructions)		
1 Name of individual who is the beneficial owner Teo Wai Keng	2 Country of citizenship Singapore	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. 8 Anthony Street #08-08		
City or town, state or province. Include postal code where appropriate. Singapore 229957		Country Singapore
4 Mailing address (if different from above)		
City or town, state or province. Include postal code where appropriate.		Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)	6 Foreign tax identifying number (see instructions) S1234567A	
7 Reference number(s) (see instructions)	8 Date of birth (MM-DD-YYYY) (see instructions) 12-31-1999	
Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)		
9 I certify that the beneficial owner is a resident of Singapore within the meaning of the income tax treaty between the United States and that country.		
10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____		
Part III Certification		
Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:		
<ul style="list-style-type: none"> I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes, The person named on line 1 of this form is not a U.S. person, The income to which this form relates is: <ul style="list-style-type: none"> (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an applicable income tax treaty, or (c) the partner's share of a partnership's effectively connected income, The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions. 		
Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.		
Sign Here	teowk Signature of beneficial owner (or individual authorized to sign for beneficial owner) TEO WAI KENG Print name of signer	12-28-2018 Date (MM-DD-YYYY)
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form W-8BEN (Rev. 7-2017)		
AFFIDAVIT FOR UNCHANGED STATUS: under penalties of perjury I declare that I have examined and signed the above Form W-8BEN and that the information and certifications therein remained the same and unchanged for the period beginning _____ to the present, and were true, correct and complete for those years. (Please attach a separate statement if any information has changed.)		
Sign Here	teowk	12-28-2018 Date (MM-DD-YYYY)

Point 1
Full Name as per NRIC or Passport.

Point 3
Residential Address as per our records. Please spell street name and country in full.

Signature
As per our records.

Print Name
Full name written clearly in capital letters.

Signature
As per our records.

Point 2
Country of Citizenship spelled out in full.

Point 6
Foreign Tax Identity Number will be NRIC number for Singapore Taxpayers

Point 8
Date of Birth in MM-DD-YYYY format.

Point 9
Claim of Tax Treaty Benefits. Fill in country of residence (same as Point 3).

Date
Today's date in MM-DD-YYYY format.

The sample information provided is to assist in completing the form and does not constitute legal, financial or tax advice. Please seek independent professional tax advice when necessary.

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

▶ For use by individuals. Entities must use Form W-8BEN-E.

▶ Go to www.irs.gov/FormW8BEN for instructions and the latest information.

▶ Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

- You are NOT an individual **W-8BEN-E**
- You are a U.S. citizen or other U.S. person, including a resident alien individual **W-9**
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) **W-8ECI**
- You are a beneficial owner who is receiving compensation for personal services performed in the United States **8233 or W-4**
- You are a person acting as an intermediary **W-8IMY**

Instead, use Form:

Note: If you are resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.			
City or town, state or province. Include postal code where appropriate.			Country
4 Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.			Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		6 Foreign tax identifying number (see instructions)	
7 Reference number(s) (see instructions)		8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here ▶

Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY)

Print name of signer Capacity in which acting (if form is not signed by beneficial owner)

For Paperwork Reduction Act Notice, see separate instructions.

AFFIDAVIT FOR UNCHANGED STATUS: under penalties of perjury I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning _____ to the present, and were true, correct and complete for those years. (Please attach a separate statement if any information has changed.)

Sign Here ▶

Date (MM-DD-YYYY)