

This is a sample of your new statement showing:

1. Statement of Account

- a. Summary of your account
- b. Activity Statement
- c. Unsettled Transactions

2. Cash Statement (Margin)

- a. Activity Statement
- b. - Ledger Balance
- Securities Holdings with UOB Kay Hian

3. Custody Statement

- a. Securities Holdings custodised with UOB Kay Hian
- b. Securities Movement custodised with UOB Kay Hian

Statement Of Account

1

Date : 28/04/2017

Period : 01 APR 2017 - 28 APR 2017

Account No. :

SUMMARY OF YOUR ACCOUNT

1a

Account Balance				Account No.		
Currency	Unsettled Purchases	Unsettled Sales	Unsettled Contra/Setoff Gains/(Losses)	Unsettled (Debit)/Credit Notes	Trust Balance	Net Balance
SGD	3,662.10	51,540.90		(63.06)	59,000.00	106,815.74
MYR	33,035.19	10,219.12				(22,816.07)
USD	2,415.40					(2,415.40)

Activity Statement

1b

Currency: SGD

Date	Reference	Description	Debit	Credit	Balance	Balance in Trust
01/04/2017		BROUGHT FORWARD			32,423.95	
04/04/2017	TSF008477/501	Sold 1,000 BAT@ MYR46.020000		14,374.63	46,798.58	
04/04/2017	TSF008478/501	Sold 10,000 YTLPOWR@ MYR1.520000		4,742.32	51,540.90	
19/04/2017	DC0601131	Deposit into Trust 29-CASH UOBSG1			51,540.90	25,000.00
19/04/2017	DC0601133	Deposit into Trust 01-CHEQUE UOB 789458 SGD30,000.00@1.000000			51,540.90	30,000.00
19/04/2017	DR1201307	DR Note FACILITY FEES	21,000.00		30,540.90	
19/04/2017	RC2105092	Amt Rec'd (DR1201307)		21,000.00	51,540.90	
19/04/2017	TPF012654/001	Bought 10,000 TPV TECHNOLOGY@ HKD2.020000	3,662.10		47,878.80	
19/04/2017	WC2105092	()			47,878.80	(21,000.00)
24/04/2017	CSN0000679	Netoff			47,878.80	
27/04/2017	DC0601329	Deposit into Trust 29-CASH UOBSG1			47,878.80	25,000.00
27/04/2017	DC0601330	Deposit into Trust 29-CASH UOBSG1			47,878.80	30,000.00
27/04/2017	DR1202085	DR Note FACILITY FEES	30,000.00		17,878.80	
27/04/2017	RC2105465	Amt Rec'd (DR1202085)		30,000.00	47,878.80	
27/04/2017	WC2105465	()			47,878.80	(30,000.00)
		Total	54,662.10	70,116.95	47,878.80	59,000.00

Statement Of Account

1

Date : 28/04/2017
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1c

Unsettled Transactions						
Date	Due Date	Reference	Description	Net Amt	Amt Paid/Contraed	Amt O/s
<u>Unsettled Purchases</u>						
<u>Currency: SGD</u>						
19/04/2017	21/04/2017	TPF012654/001	Bought 10,000 TPV TECHNOLOGY@ HKD2.020000	3,662.10		3,662.10
<u>Currency: MYR</u>						
11/04/2017	14/04/2017	TPF010508/001	Bought 30,000 BRAHIMS@ MYR0.745000	22,454.25		22,454.25
13/04/2017	18/04/2017	TPF011546/001	Bought 5,000 KAREX@ MYR2.100000	10,580.94		10,580.94
Total				33,035.19	0.00	33,035.19
<u>Unsettled Sales</u>						
<u>Currency: SGD</u>						
29/03/2017	31/03/2017	TSF006546/501	Sold 1,345 CHINA RES BEER@ HKD17.500000	4,188.06		4,188.06
29/03/2017	31/03/2017	TSF006601/501	Sold 2,000 TSINGTAO BREWERY@ HKD36.000000	12,824.77		12,824.77
29/03/2017	31/03/2017	TSF006602/501	Sold 2,400 SANDS CHINA LTD@ HKD36.050000	15,411.12		15,411.12
04/04/2017	07/04/2017	TSF008477/501	Sold 1,000 BAT@ MYR46.020000	14,374.63		14,374.63
04/04/2017	07/04/2017	TSF008478/501	Sold 10,000 YTLPOWR@ MYR1.520000	4,742.32		4,742.32
Total				51,540.90	0.00	51,540.90
<u>Currency: MYR</u>						
19/04/2017	24/04/2017	TSF012728/501	Sold 5,000 KAREX@ MYR2.060000	10,219.12		10,219.12
<u>Outstanding Debit Notes</u>						
<u>Currency: SGD</u>						
11/04/2017	11/04/2017	DRI1187830	DR Note CUSTODY FEE	66.34	(3.28)	63.06

SAMPLE



UOB Kay Hian Private Limited
 A Member of Singapore Exchange Securities Trading Limited
 Business Registration No: 197000447W
 GST Registration No: M2-0011052-6

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 Singapore 229957
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Custody Statement

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Date : 28/04/2017

Account No :

Period : 01 APR 2017 - 28 APR 2017

Nationality :

3a

MALAYSIA							
Security	Outstanding Buy	Outstanding Sales	Available (1)	Free (2)	Balance# (1)+(2)	Last Done (Price(3))	Market Value #(3)
BRAHIM'S HOLDINGS BERHAD			30,000		30,000	0.710000	21,300.00
BRITISH AMERICAN TOBACCO(M)BHD		-1,000				44.220000	N.A
CARLSBERG BREWERY M'SIA BHD				1,000	1,000	14.200000	14,200.00
HEINEKEN MALAYSIA BERHAD				1,000	1,000	16.100000	16,100.00
KAREX BERHAD		-5,000	5,000		5,000	2.580000	12,900.00
SEVEN-ELEVEN MALAYSIA HLDG BHD				18,000	18,000	1.720000	30,960.00
TMC LIFE SCIENCES BERHAD				10,000	10,000	0.985020	9,650.20
TUNE PROTECT GROUP BERHAD				20,000	20,000	1.600000	32,000.00
WILLOWGLEN MSC BERHAD				50,000	50,000	0.780000	38,000.00
YTL POWER INTERNATIONAL BHD		-10,000				1.510000	N.A
					TOTAL:	MYR	175,110.20
THAILAND							
Security	Outstanding Buy	Outstanding Sales	Available (1)	Free (2)	Balance# (1)+(2)	Last Done (Price(3))	Market Value #(3)
TICON INDUSTRIAL- NVDR,TICON-R				20,000	20,000	15.500000	310,000.00
UOB KAY HIAN SEC (T), UOBKH-R				60,000	60,000	3.940000	236,400.00
					TOTAL:	THB	546,400.00
UNITED STATES							
Security	Outstanding Buy	Outstanding Sales	Available (1)	Free (2)	Balance# (1)+(2)	Last Done (Price(3))	Market Value #(3)
BERKSHIRE HATHAWAY-CL B, BRKB				200	200	143.940000	28,788.00
TWITTER INC,TWTR				1,000	1,000	17.290000	17,290.00
ZTO EXPRESS CAYMAN INC-ADR,ZTO			200		200	16.990000	3,398.00
					TOTAL:	USD	49,476.00

SAMPLE

8 Anthony Road, #01-01
Singapore 229957
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Custody Statement

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Date : 28/04/2017
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Nationality :

3b

Securities Movement For Foreign Markets From 01 Apr 2017 - 28 Apr 2017

HONG KONG

Security	Day	Code	Reference	Quantity	Balance
TPV TECHNOLOGY LIMITED,903	21	PUR	TPF012654/001	10,000	10,000

MALAYSIA

Security	Day	Code	Reference	Quantity	Balance
BRAHIM'S HOLDINGS BERHAD	14	PUR	TPF010508/001	30,000	30,000
KAREX BERHAD	18	PUR	TPF011546/001	5,000	5,000

UNITED STATES

Security	Day	Code	Reference	Quantity	Balance
ZTO EXPRESS CAYMAN INC-ADR,ZTO	13	PUR	TPF010130/001	200	200

Note

Securities holdings: Free - Fully Paid for, Available - Cannot be sold pending payment or other reasons.

An @ after Balance indicates that the security is unlisted or has been suspended/delisted

Transaction Code Guide

BNS - Bonus	TF - Transfer from	CHG - Charges	INI - Initial Public Offer
RTS - Rights	TT - Transfer To	DCH - Discharge	RF - Recovery of Short Del.
PUR - Purchase	DEP - Deposit	ASG - Assignment	RSG - Reassignment
SAL - Sale	WDR - Withdrawal	DRP - Dividend Reinvestment Plan	

If the net amount is due to us, please send us your cheque in the settlement. Cheques should be crossed and made payable to UOB Kay Hian Limited. On the reverse side of your cheque, please indicate your name, trading account number and the amount for each contract note, each contra statement or payment to account. Please examine this statement and advise us of any error or omission immediately. The monthly statement will be sent to you if there is transaction within that month. Otherwise, statement will be sent to you on a quarterly basis. This is a computer generated document AND is deemed to have been signed.