

Opening of Trading Account – Joint Applicants

A) **Mandatory Forms** – Please complete the following Forms:

1. UOBKH Joint Account Application for Opening of Trading Account(s)

B) **Optional Forms** – Please submit the additional Forms if you intend to apply:

1. CDP Apply for Joint Securities Account
2. * Form W-8BEN
3. * Customer Account Review (CAR) / Customer Knowledge Assessment (CKA) Form
4. Declaration of Accredited / Expert / Institutional Investor Status Form – available from our Client Services Department
5. Margin Loan Documents – available from our Client Services Department

* Applicants will have to complete one form each.

C) **Supporting Documents Required**

1. Singaporean, Permanent Resident of Singapore or Malaysian – Clear copies of the NRIC front and back
2. Malaysian or Other Nationality – Clear copies of valid passport
3. Proof of residential address and mailing address – Examples: bank statements or documents issued by the Government (not more than 3 months old)

D) **Notes**

1. The signature on the Application Forms must be witnessed and supporting documents submitted must be certified true copy by any of the following authorised personnel/representatives (name, title and company's stamp affixed):
 - UOBKH staff (including UOBKH regional offices)
 - Notary Public
 - Advocates and solicitors
 - Singapore Embassy
 - Justice of Peace
2. Documents submitted in non-English must be translated into English with applicant's signature.
3. Applicants must counter-sign any alterations made on the forms. If there are more than 3 alterations on the same form, applicants have to fill in a fresh form.
4. The application forms will not be accepted if they were dated more than 1 month upon receipt.
5. Applicants may be asked to furnish more information and supporting documents, if required.