## Supplementary Retirement Scheme (SRS) Promotion 9 December 2019 to 29 February 2020 Terms and Conditions

- 1. The UOB Kay Hian ("UOBKH") SRS Promotion is only applicable to clients trading online with UOBKH.
- 2. This Promotion will be held from 9 December 2019 to 29 February 2020. Only online trades using Supplementary Retirement Scheme (SRS) funds are eligible.
- 3. The eligible securities account type includes Retail Individual Account only.
- 4. Corporate/Institutional, UTRADE CFD, UTRADE PLUS, UOBKH Trading Representatives and staff accounts are not eligible for this Promotion.
- 5. Under this Promotion:
  - a. Clients earn extra bonus points when they make a trade using SRS. Bonus points are awarded as follows:

Commission for each trade <sup>#</sup>	Bonus point for SRS Trades		Bonus points for SRS REITs Trades	
S\$25 - S\$500	X 1.5	Example: Commission S\$100, 150 bonus points	X 3	Example: Commission S\$100, 300 bonus points
Above S\$501	Х 3	Example: Commission S\$510, 1530 bonus points	X 5	Example: Commission S\$510, 2550 bonus points

# Commission is rounded down to the nearest dollar for calculation.

- b. Minimum commission of S\$25 for each trade to be eligible for bonus points.
- c. The bonus points will be credited to the client's account by 31 March 2020 and are valid till 31 December 2021.
- 6. The prevailing Terms and Conditions on Rewards and Bonus Points apply.
- 7. Clients will be disqualified from the Promotion if their accounts with UOBKH are closed before 29 February 2019.
- 8. Clients will continue to be bound by UOBKH Master Trading Agreement and the Terms and Conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
- 9. UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
  - a. The client failed to pay UOBKH for his/her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotional period.
  - b. The client is not in good standing. If the client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.

- 10. UOBKH reserves the right to terminate or suspend this Promotion for any reason whatsoever and to reveal client details to relevant authorities for the purposes of the Promotion.
- 11. UOBKH reserves the right in its absolute discretion to terminate, vary and add to any of these Terms and Conditions, at any time without prior notice and without having to disclose any reason therefore and without any compensation whatsoever.
- 12. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH clients and no correspondence will be entertained. In the event of any inconsistency between these Terms and Conditions and any marketing material relating to this Promotion, these Terms and Conditions will prevail.
- 13. UOBKH's records of all matters relating to the Promotion are conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice to suspend the calculation, to rectify any errors in calculation, or otherwise adjust such calculation