

**UOB Kay Hian “US Promotion”
11 November 2019 to 13 December 2019**

Terms and Conditions

1. The UOB Kay Hian ("UOBKH") US Promotion 2019 ("Promotion") is only applicable to clients trading online with UOBKH.
2. This Promotion will be held from 11 November 2019 to 13 December 2019. Only online trades on US markets are eligible.
3. The eligible securities account types are: retail individual account and retail joint account.
4. Corporate/Institutional, UTRADE CFD, UOBKH trading representatives and staff accounts are not eligible for this Promotion.
5. Under this Promotion:
 - a. Clients stand a chance to win FREE Alibaba (Stock code BABA) shares through a Lucky Draw. Only online trades executed with UOBKH in the US markets between 11 November 2019 and 13 December 2019 qualify for the Draw.
 - b. 5 online trades will represent 1 chance in the Draw. 10 online trades will represent 2 chances in the Draw. The number of chances for each client is capped at a maximum of 2 chances.
 - c. Special deal on 29 November 2019 and 2 December 2019, clients only need to accumulate 5 online trades to have 2 chances, accumulate 10 trades to have 4 chances in the Draw. On 29 November 2019 and 2 December 2019 only, each client cap at maximum of 4 chances.

For example:

Trades accumulated during 11- 28 Nov and 3 -13 Dec (N)	Trades accumulated during 29 Nov and 2 Dec (SD)	Total Chances
3	2	[3N + 2SD = 1 chance] 1 chance
1	4	[1N + 4SD = 1 chance] 1 chance
4	7	[4N + 2SD, 5SD = 1 chance + 2 chance] 3 chances
10	10	[10N + 10SD = 2 chances + 4 chances] 6 chances
12	20	[12N+10SD, 10SD = 2 chances + 4 chances] 6 chances
2	20	[2N + 10SD, 10SD = 2 chances + 4 chances] 6 chances

6. The Draw will be conducted on 16 December 2019, 5.30pm or such other dates and time as determined by UOBKH. Location for the Draw will be at 8 Anthony Road, UOB Kay Hian's Auditorium or other location as determined by UOBKH.

7. Prizes as follows:

Prizes	Winner(s)
Grand Prize, 1 winner	5 shares of Alibaba (BABA)
Runners-up, 2 winners	2 shares of Alibaba (BABA) each

8. Winners will be picked randomly by computerized means. If UOBKH subsequently determines that a winner is not eligible for any reason, it may forfeit the prize and deal with it in any manner deemed fit in its sole and absolute discretion. UOBKH shall also be entitled to draw a replacement winner(s) to replace any winner(s) subsequently found to be ineligible.
9. UOBKH will inform the winners via email using the contact details in its records and such notification shall be effective and deemed to have been received by the winners. Shares will be credited into client's account by 31 Dec 2019. All prizes are non-transferable and may not be exchanged in any format.
10. Clients will be disqualified from the Promotion if their accounts with UOBKH are closed before 13 December 2019.
11. Clients will continue to be bound by UOBKH Master Trading Agreement and the terms and conditions of any other agreement(s) that the respective clients have entered into with UOBKH.
12. UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Promotion for any reason whatsoever, including upon the occurrence of the following:
- a. The client failed to pay UOBKH for his/her executed trade(s) and/or that he/she has outstanding payment to UOBKH during the promotional period.
 - b. The client is not in good standing. If the client's account has been suspended temporarily or terminated due to any reason, the client will be disqualified from this Promotion.
13. UOBKH reserves the right to terminate or suspend this Promotion for any reason whatsoever and to reveal client details to relevant authorities for the purposes of the Promotion.
14. UOBKH reserves the right in its absolute discretion to terminate, vary, and add to any of these terms and conditions, at any time without prior notice without having to disclose any reason therefore and without any compensation whatsoever.
15. The decision of UOBKH on all matters relating to the Promotion is final, conclusive and binding on all UOBKH clients and no correspondence will be

entertained. In the event of any inconsistency between these terms and conditions and any marketing material relating to this Promotion, these terms and conditions will prevail.

16. UOBKH's records of all matters relating to the Promotion is conclusive and binding on the clients. UOBKH is entitled, for any reason and at any time, without liability or prior notice to suspend the calculation, to rectify any errors in calculation, or otherwise adjust such calculation.