

LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 07 January 2021

(Sorted by Alphabetical Order)

SECURITY NAME / CATEGORY CODE

GLOBAL							
MARKET : HK							
A							
AAC TECHNOLOGIES	A	ABF PAIF	D	AGILE GROUP HOLD	B	AGRICULTURAL BK	SA
AIA GROUP LTD	SA	AIR CHINA LTD	B	ALIBABA GROUP	SA	A-LIVING SER CO	D
ALLIED PROP HK	D	ALPHAMAB ONCOLOG	D	ANGANG STEEL	B	ANHUI CONCH CEM	A
ANHUI EXPRESSWAY	D	ANTA SPORTS	B	ASIA CEMENT (CH)	D	ASIA FINL HLDGS	D
ASM PACIFIC TECH	C	AVIC INT'L HLDGS	D	AVICHINA INDUS	C		
B							
BAIC MOTOR CORP	B	BAIYUNSHAN PHARM	C	BBMG CORP H-SHS	B	BEIJING AIRPORT	B
BEIJING CAP LAND	D	BEIJING ENT HLDG	A	BEIJING ENT WAT	C	BEIJING NTH STAR	D
BJ CLEAN ENERGY	D	BK OF CHINA LTD	SA	BK OF CHONGQING	D	BK OF COMMS CO	SA
BK OF EAST ASIA	A	BLUE MOON GROUP	D	BOC AVIATION LTD	D	BOC HONG KONG	SA
BOSIDENG INT'L	D	BRILLIANCE CHINA	B	BUD APAC	A	BYD CO. LTD	C
BYD ELECTRONIC	C						
C							
CAFE DE CORAL	D	CATHAY PAC AIRWY	B	CG SERVICES	D	CGN POWER CO LTD	B
CHALCO	B	CHAMPION REIT	C	CHEUNG KONG INFR	A	CHINA AOYUAN	D
CHINA BLUECHEM	C	CHINA CINDA ASSE	B	CHINA CITIC BANK	A	CHINA COAL ENER	A
CHINA COMM CONS	B	CHINA COMM SERV	B	CHINA CONCH VENT	C	CHINA CONST BANK	SA
CHINA DONGXIANG	D	CHINA EASTN AIRL	B	CHINA EVERBR LTD	B	CHINA EVERBRIGHT	B
CHINA EVERBRIGHT	D	CHINA FEIHE	B	CHINA GALAXY	B	CHINA GAS HLDGS	C
CHINA HARMONY	D	CHINA HUARONG AS	D	CHINA INTL CAP-H	B	CHINA INTL MARIN	B
CHINA JINMAO	C	CHINA LESSO	C	CHINA LIFE INS	SA	CHINA LITERATURE	B
CHINA LONGYUAN-H	B	CHINA MACHINERY	C	CHINA MEDICAL SY	D	CHINA MENGNIU	A
CHINA MER BK	SA	CHINA MER PORT	A	CHINA MER SECS	C	CHINA MINSHENG-H	B
CHINA MOBILE LTD	SA	CHINA MOLYBDENUM	B	CHINA NAT BLDG	B	CHINA OILFIELD	B
CHINA ORIENTAL	C	CHINA OVERSEAS	SA	CHINA OVS GRAND	C	CHINA PACIFIC IN	SA
CHINA POWER INTL	C	CHINA RAIL CONST	A	CHINA RAIL SIGNA	C	CHINA RAILWAY GP	A
CHINA REINSURANC	B	CHINA RES BEER	B	CHINA RES CEMENT	B	CHINA RES GAS	B
CHINA RES LAND	A	CHINA RES PHARM	B	CHINA RES POWER	A	CHINA S AIRLINE	B
CHINA SCE GROUP	D	CHINA SHENHUA EN	SA	CHINA SHINEWAY	D	CHINA SOUTH CITY	D
CHINA STATE CONS	C	CHINA TAIPING	B	CHINA TELECOM	A	CHINA TOWER	A
CHINA TRADITION	C	CHINA TRAVEL HK	C	CHINA UNICOM	A	CHINA VANKE CO	A
CHINA WATER AFF	D	CHINA YONGDA AUT	D	CHINA YUHUA EDU	D	CHINAAMC CSI 300	A
CHINAAMC CSI 300	A	CHINASOFT INT'L	D	CHINESE ESTATES	D	CHONG HING BK	D
CHONGQING M&E	D	CHOW SANG SANG	D	CHOW TAI FOOK	A	CIFI HOLDINGS	D
CIMC ENRIC HLDGS	D	CIMC VECHICLES	D	CITIC LTD	A	CITIC RESOURCES	D
CITIC SECURITIES	B	CITIC TELECOM	D	CK ASSET HLDGS	SA	CK HUTCHISON	SA
CLP HOLDINGS LTD	A	CNOOC LTD	SA	COFCO JOYCOME FO	D	COMBA TELECOM	D
COSCO SHIP DEV	C	COSCO SHIP INTL	D	COSCO SHIPPING	B	COSCO SHIPPING	B
COSCO SHIPPING E	B	COUNTRY GARDEN	A	CQRC BANK	B	CR MEDICAL	D
CRRC CORP LTD-H	B	CSC FINANCIAL CO	C	CSOP FTSE CH A50	A	CSOP FTSE CH A50	A
CSOP HS TECH	D	CSPC PHARMA GRP	B	CSSC OFFSHORE	D		
D							
DAH SING BANKING	C	DAH SING FIN HLD	C	DALI FOODS GROUP	C	DALIAN PORT	D
DATANG INTL PWR	B	DFZQ-H	D	DONGFANG ELEC CO	C	DONGFENG MOTOR	B
E							
EB ENVIRONMENT	C	ENN ENERGY HLDGS	B	ESR CAYMAN	B	EVERBRIGHT SECS	C
F							
FE HORIZON	D	FIH MOBILE	C	FIRST PACIFIC	C	FIRST TRACTOR CO	D
FIT HON TENG	D	FLAT GLASS GROUP	D	FORTUNE REIT EST	C	FOSUN INTL	B
FOSUN PHARMA	B	FU SHOU YUAN	D	FUFENG GROUP	D	FUYAO GLASS IND	C

Counter Limit (Valuation) for Cat A is HKD70,000,000 (100%), Cat B is HKD42,000,000 (100%), Cat C is HKD28,000,000 (85%), Cat D is HKD4,500,000 (70%), Cat SA is HKD87,500,000 (100%)
At company's discretion, we may vary the advance ratio and/or counter limit for the same category.

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G							
GALAXY ENTERTAIN	A	GANFENGLITHIUM	D	GCL-POLY ENERGY	D	GDS HOLDINGS LTD	D
GEELY AUTOMOBILE	A	GENTING HK LTD	D	GF SECURITIES CO	B	GIORDANO INTL	D
GOME RETAIL	D	GREAT EAGLE HLDG	C	GREAT WALL MOTOR	B	GREENTOWN CHINA	D
GUANGDONG INVST	B	GUANGSHEN RAIL-H	B	GUANGZHOU GRP-H	B	GUANGZHOU R&F	B
GUOCO GROUP LTD	B	GUOTAI JUNAN I	C	GUOTAI JUNAN SEC	B		
H							
HAILILAO INTL	C	HAIER SMARTHOME	B	HAITIAN INTL HLG	C	HAITONG INT'L	C
HAITONG SECS - H	B	HANG LUNG GROUP	A	HANG LUNG PROP	A	HANG SENG BANK	SA
HANSOH PHARM	D	HARBIN ELECTRIC	D	HEALTH&HAPPINESS	D	HEC PHARM	D
HENDERSON LAND	A	HENGAN INTL	B	HISENSE ELEC-H	D	HK & CHINA GAS	A
HK & SHAI HOTEL	B	HK ELECTRIC-SS	A	HK EXCH & CLR	SA	HKT TRUST AND HK	B
HOPSON DEV HLDGS	D	HS HSI ETF	A	HSBC	SA	HSCEI ETF	A
HUA HONG SEMICON	C	HUABAO INTL HLDG	D	HUADIAN FUXIN EN	D	HUADIAN POWER	B
HUANENG POWER-H	B	HUATAI SECURITIE	B	HUI XIAN REIT	C	HUTCH TELECOM HK	C
HYSAN	B						
I							
IND & COM BOC	SA	ISHARES A50CHINA	A	ISHS MSCI CH IND	D		
J							
JD HEALTH INTL	B	JD.COM INC-CL A	B	JIANGSU EXPRESSW	B	JIANGXI COPPER	B
JINXIN FERTILITY	C	JOHNSON ELECTRIC	C	JOY CITY PROP	D	JOY SPREADER	D
K							
K WAH INTL HLDGS	D	KERRY LOGISTICS	B	KERRY PPT	A	KINGBOARD HLDG	C
KINGBRD LAM HLDG	C	KINGDEE INTL SFT	D	KINGSOFT CORP	C	KUNLUN ENERGY CO	B
KWG GROUP	C						
L							
LANGHAM - SS	D	LEE & MAN PAPER	C	LEGEND HOLDINGS	B	LENOVO GROUP	C
LI NING CO	D	LINK REIT	SA	LIVZON PHARMA GR	C	L'OCCITANE INT'L	C
LOGAN GROUP	D	LONGFOR GROUP	B	LONKING HOLDINGS	D	LUK FOOK HOLD	D
LUYE PHARMA GRP	D						
M							
MAANSHAN IRON	B	MAN WAH HLDGS	D	MANULIFE FIN	B	MAOYAN ENT	D
MEITUAN DIANPING	A	MELCO INTL DEVT	C	METALLURGI CORP	B	MGM CHINA HLDGS	C
MICROPORT	D	MINTH GROUP	C	MMG LTD	D	MTR CORP LTD	SA
N							
NAGACORP LTD	D	NETEASE INC	B	NEW CHINA LIFE	A	NEW WORLD DEV	A
NEXTEER AUTOMOTI	C	NINE DRAGONS	B	NONGFU SPRING	B	NWS HOLDINGS	C
O							
ORIENT OSEAS INT	B						
P							
PAC BASIN SHIP	D	PACIFIC TEXTILES	D	PCCW LTD	C	PEOPLE'S INS CO	SA
PETROCHINA CO	SA	PICC PROPERTY	A	PING AN HEALTH	C	PING AN INSUR	SA
POLY CULTURE GRP	D	POLY PROPERTY	C	POSTAL SAVINGS	A	POWER ASSETS	SA
POWERLONG EST	D	PRADA S.P.A	B	PROSPERITY REIT	D	PRUDENTIAL PLC	B
Q							
QINGDAO PORT INT	D	QINHUANGDAO POR	D				

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GLOBAL							
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R							
RAZER INC	C	RED STAR MACALLI	C	ROAD KING INFRAS	D		
S							
SAMSONITE	C	SANDS CHINA LTD	A	SANY HEAVY EQUIP	D	SEMICONDUCTOR MFG INTL	B
SHAND CHENMING	D	SHANDONG GOLD	C	SHANDONG WEIGAO	C	SHANG ELECT GRP	B
SHANG INDUS HLDG	B	SHANG JIN JIANG	C	SHANGHAI IND URB	D	SHANGHAI PHARMA	C
SHANGRI-LA ASIA	A	SHENZ EXPRESSWAY	C	SHENZ INVESTMENT	C	SHENZHEN INTL	C
SHENZHEN INVESTM	D	SHENZHOU INTL	A	SHIMAO PROPERTY	B	SHOUGANG FUSHAN	C
SHUI ON LAND	B	SHUN TAK HLDGS	C	SICHUAN EXP-WAY	D	SIHUAN PHARMACEU	D
SIMPHR	D	SINGAMAS CONTAIN	D	SINO BIOPHARMA	B	SINO LAND CO LTD	A
SINO-OCEAN GRP	B	SINOPEC CORP	SA	SINOPEC ENGRG	B	SINOPEC KANTONS	D
SINOPEC SH PETRO	B	SINOPHARM GROUP	B	SINOTRANS LTD	C	SINOTRUK	C
SITC INTL HLDGS	D	SJM HOLDINGS	B	SKYWORTH GROUP	D	SMARTONE TELECOM	D
SOHO CHINA LTD	D	SPDR GOLD TRUST	A	SSY GROUP LTD	D	STANDARD CHARTER	A
STELLA HOLDINGS	D	SUN HUNG KAI CO	D	SUN HUNG KAI PRO	SA	SUNAC CHINA HLDG	C
SUNART RETAIL	C	SUNLIGHT REIT	D	SUNNY OPTICAL	B	SWIRE PAC 'B'	A
SWIRE PACIFIC-A	A	SWIRE PROPERTIES	A				
T							
TCL ELECTRONIC	D	TECHTRONIC IND	B	TELEVISION BCAST	D	TENCENT HOLDINGS	SA
TEXWINCA HLDG	D	THREE 61 DEGREES	D	THREE SBIO INC	C	TIANJIN CAPITAL	D
TIANJIN DEV HLD	D	TIANJINPORT DEV	D	TIGERMED	D	TINGYI HOLDING	C
TONG REN TANG	D	TONGCHENG-ELONG	C	TONGDA GRP HLDGS	D	TOWNGAS CHINA CO	C
TRACKER FUND HK	SA	TRANSPORT INT'L	D	TRAVELSKY TECH	C	TSINGTAO BREWERY	B
U							
UNI-PRESID CHINA	C	UNITED CO RUSAL	C	UNITED LAB	D	UNIVERSAL MED	D
V							
VALUE PARTNERS	D	VINDA INTL	D	VITASOY INTL HLD	D	VTECH HLDGS LTD	D
W							
WANT WANT CHINA	B	WEICHAJ POWER	B	WH GROUP LIMITED	B	WHARF HDLGS LTD	A
WHARF RECI, 1997	A	WISE CSI300 ETF	D	WUXI APPTTEC	C	WUXI BIOLOGICS	D
X							
XIAOMI CORP	A	XINGDA INTL	D	XINHUA WINSHARE	D	XINJIANG GOLD -H	B
XINYI ENERGY	D	XINYI GLASS	C	XINYI SOLAR HLDG	C	XTEP INT'L	D
Y							
YANGTZE OPTICAL	C	YANZHOU COAL	B	YIHAI INTL	D	YIXIN GROUP	D
YUE YUEN INDUS	B	YUEXIU PROPERTY	C	YUEXIU REAL EST	C	YUEXIU TRANSPORT	D
YUM CHINA	C						
Z							
ZHAOJIN MINING	C	ZHEJ EXPRESSWAY	B	ZHENGTONGAUTO	D	ZHONGAN ONLINE	C
ZHONGSHENG HLDG	C	ZHONGYU GAS HLDG	D	ZHUZHOU CRRC TIM	B	ZIJIN MINING GRP	B
ZMJ	D	ZOOMLION HEAVY	B	ZTE CORP	D		