

LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 17 December 2020

(Sorted by Alphabetical Order)

SECURITY NAME / CATEGORY CODE

GLOBAL

MARKET : US

1

10X GENOMICS	E	1LIFE HEALTHCARE	E				
A							
ABB LTD-ADR	C	ABBOTT LABS	SA	ABBVIE INC	SA	ABIOMED INC	C
ACADIA PHARM INC	D	ACCELERON PHARMA	E	ACCENTURE LTD-A	A	ACTIVISION BLIZZ	B
ACUITY BRANDS	E	ADAPTIVE BIOTECH	E	ADOBE SYS INC	SA	ADVANCE AUTO PRT	D
ADVANCED MICRO	A	AECOM TECHNOLOGY	D	AERCAP HOLDINGS	E	AES CORP	D
AFLAC INC	C	AGILENT TECH INC	C	AGIOS PHARM INC	E	AGNC INVESTMENT	D
AGNICO EAGLE MIN	D	AGREE REALTY	E	AIR PRODS & CHEM	B	AIRBNB INC	B
AKAMAI TECHS INC	C	ALASKA AIR GRP	E	ALBEMARLE CORP	D	ALEXANDRIA REAL	C
ALEXION PHARM	B	ALIBABA GROUP	SA	ALIGN TECHNOLOGY	B	ALLEGHANY CORP	E
ALLEGION PLC	D	ALLETE INC	E	ALLIANT ENERGY	C	ALLOGENE THERAP	D
ALLSTATE CORP	C	ALLY FINANCIAL	D	ALNYLAM PHAR INC	C	ALPHABET-CL A	SA
ALPHABET-CL C	SA	ALTERYX INC-CL A	D	ALTICE USA INC-A	C	ALTRIA GROUP INC	B
AMAZON.COM INC	SA	AMBEV ADR	D	AMCOR PLC	D	AMDOCS	D
AMEDISYS INC	D	AMER ELEC PWR CO	C	AMER EXPRESS CO	A	AMER FINL GROUP	D
AMER MOVIL SA-AD	C	AMER TOWER REIT	A	AMER WATER WORKS	C	AMEREN CORP	C
AMERICAN AIRLINE	E	AMERICAN CAMPUS	E	AMERICAN HOMES	E	AMERICAN INTL	C
AMERIGOLD REALIT	D	AMERIPRISE FIN	C	AMERISOURCEBERGE	D	AMETEK INC	C
AMGEN INC	SA	AMICUS THERA	E	AMPHENOL CORP	C	ANALOG DEVICES	B
ANAPLAN INC	D	ANGLOGOLD AS-ADR	C	ANHEUSER BUSCH	A	ANNALY CAPITAL	D
ANSYS INC	C	ANTHEM INC	B	AO SMITH CORP	D	AON PLC (IE)	B
APACHE CORP.	E	APOLLO GLOBAL-A	D	APPL MATERIALS	B	APPLE INC	SA
APT INV & MNGMNT	D	APTARGROUP INC	E	APTIV PLC	D	ARAMARK HOLDINGS	D
ARCELOR MITTAL	C	ARCH CAPITAL GRP	C	ARCHER DANIELS	C	ARENA PHARMACEUT	E
ARISTA NETWORKS	C	ARROW	D	ARROWHEAD PHARM	E	ARTHUR J GALLAGR	C
ASML HOLDING NV	SA	ASPEN TECH INC	D	ASSURANT INC	D	ASTRAZENECA PLC	D
AT&T INC	SA	ATHENE HLDG-CL A	E	ATLISSIAN CORP-A	B	ATMOS ENERGY	C
AUTODESK INC	B	AUTOMATIC DATA	A	AUTOZONE INC	B	AVALARA INC	D
AVALONBAY	B	AVANTOR INC	C	AVERY DENNISON	D	AXALTA COATING	E
AXON ENTERPRISE	D						

B

BAIDU.COM-ADR	B	BAKER HUGH,BHGE	D	BALL CORP	C	BANCO BRADES-ADR	B
BANCO SANTAN SA	D	BANK OF AM CORP	SA	BARCLAYS PLC-ADR	D	BARRICK GOLD	B
BAUSCH HEALTH	D	BAXTER INTL INC	B	BECTON DICKINSON	A	BEIGENE LTD-ADR	C
BERKSHIRE HATH-A	C	BERKSHIRE HATH-B	SA	BERRY GLOBAL	E	BEST BUY CO	C
BEYOND MEAT	D	BHP GROUP PLC	C	BHP GRP LIMITED	A	BILL.COM HOLDING	D
BIOGEN INC	B	BIOMARIN PHARM	C	BIONTECH SE,BNTX	C	BIO-RAD LABS	D
BIO-TECHNE CORP	C	BK NOVA SCO	E	BK OF N Y MELLON	C	BLACK HILLS CORP	E
BLACK KNIGHT INC	E	BLACKLINE INC	E	BLACKROCK INC	A	BLACKSTONE GROUP	C
BLUEBIRD BIO INC	E	BLUEPRINT MEDICI	E	BOEING	A	BOOKING HLDGS	A
BOOZ ALLEN HAMIL	D	BORG WARNER INC	D	BOSTON BEER INC	D	BOSTON PROP	C
BOSTON SC CORP	B	BP PLC-ADR	A	BRIGHT HORIZONS	E	BRISTOL-MYERS SQ	SA
BRIT AMER TOB-AD	C	BRIXMOR PROP GRP	E	BROADCOM INC	SA	BROADRIDGE FINAN	D
BROOKFIELD -CL A	E	BROWN AND BROWN	E	BROWN FMAN CP CL	D	BRUKER BIOSCIENC	E
BRUNSWICK CORP	E	BUNGE LTD	D	BURLINGTON STORE	C		

Counter Limit (Valuation) for Cat A is USD10,000,000 (100%), Cat B is USD5,000,000 (100%), Cat C is USD1,000,000 (70%), Cat D is USD700,000 (70%), Cat E is USD43,000 (43%), Cat SA is USD20,000,000 (100%)

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C

CABLE ONE INC	C	CABOT MICROELEC	E	CABOT OIL & GAS	D	CACI INTL INC-A	E
CADENCE DESIGN	B	CAESARS ENTER	D	CAMDEN PROPERTY	D	CAMPBELL SOUP	C
CAN IMPL BANK	E	CANADIAN NAT	D	CANADIAN NAT RES	D	CAPITAL ONE FINL	C
CARDINAL HEALTH	C	CARGURUS INC	E	CARLISLE COS INC	D	CARLYLE GROUP	D
CARMAX INC	D	CARNIVAL CORP	C	CARNIVAL PLC-ADR	D	CARRIER GLOBAL	C
CASEY'S GENERAL	D	CATALENT INC	C	CATERPILLAR INC	B	CBRE GROUP INC	C
CDW CORP/DE	C	CELANESE CORP-A	D	CEMEX SA-ADR	E	CENTENE CORP	C
CENTERPOINT ENGY	D	CERIDIAN HCM	D	CERNER CORP	B	CF IND HLDGS INC	D
CH ROBINSON WRLD	C	CHARLES RIV LABS	E	CHARLES SCHWAB	B	CHARTER COMMS-A	SA
CHECK POINT	C	CHEGG INC	D	CHEMED CORP	E	CHEVRON CORP	SA
CHINA MOBILE-ADR	B	CHIPOTLE MEXICAN	B	CHUBB CORP	B	CHURCH & DWIGHT	C
CHURCHILL DOWNS	D	CIENA CORP	D	CIGNA CORP	B	CINCINNATI FIN C	D
CINTAS CORPN	B	CIRRUS LOGIC INC	E	CISCO SYS INC	SA	CITIGROUP INC	SA
CITIZENS FIN GRP	D	CITRIX SYS INC	C	CLOROX COMPANY	B	CLOUDFLARE INC	D
CME GROUP INC A	A	CMS ENERGY CORP	D	COCA-COLA CO	SA	COCA-COLA EUROPE	D
COGNEX CORP	D	COGNIZANT TECH S	B	COLGATE PALMOLIV	B	COLUMBIA SPORTS	E
COMCAST CORP A	SA	COMERICA INC	D	COMM SERV SELECT	E	COMMERCE BANCSH	E
CONAGRA BRANDS	D	CONCHO RESOURCES	D	CONOCOPHILLIPS	B	CONS EDISON INC	C
CONSTELLN BRANDS	C	CONSUMER SPDR FD	D	CONSUMER STAPLES	C	CONTINENTAL RES	E
COOPER COS INC	D	COPART INC	C	CORELOGIC INC	E	CORNING INC	D
CORTEVA INC	C	COSTAR GROUP INC	B	COSTCO WHOLESALE	SA	COTY INC	E
COUPA SOFTWARE	C	COUSINS PROPRTI	E	CREDICORP LTD	E	CREDIT ACCEPT	D
CREDIT SUISSE	D	CREE INC	D	CRISPR THERAPEU	E	CROWDSTRIKE HLDG	C
CROWN CASTLE CRP	B	CROWN HOLDINGS	E	CSX CORP	B	CUMMINS INC	C
CVS HEALTH CORP	A	CYBER-ARK SOFTWA	E	CYRUSONE INC	D		

D

DANAHER CORP	A	DARDEN REST	C	DATADOG INC-CL A	B	DAVITA INC	D
DECKERS OUTDOOR	D	DEERE & CO	C	DELTA AIR LINES	C	DENTSPLY SIRONA	C
DEUTSCHE BK AG	C	DEVON ENERGY	E	DEXCOM INC	B	DIAGEO-ADR	B
DIAMONDBACK ENER	D	DICK'S SPORTING	E	DIGITAL REALTY	B	DISCOVER FIN SVC	C
DISCOVERY COMM-A	C	DISCOVERY INC	C	DISH NETWORK	D	DOCUSIGN INC	B
DOLLAR GENERAL	B	DOLLAR TREE STR	B	DOMINION ENERGY	B	DOMINO'S PIZZA	C
DOORDASH INC	C	DOUGLAS EMMETT	E	DOVER CORP	D	DOW INC	C
DR HORTON INC	C	DRAFTKINGS INC	B	DROPBOX INC	D	DTE ENERGY	C
DUKE ENERGY CORP	B	DUKE REALTY CORP	D	DUPONT DE DEMOUR	B	DXC TECHNOLOGY	E
DYNATRACE INC	D						

E

EAST WEST BANCOR	D	EASTGROUP PPTY	E	EASTMAN CHEMICAL	D	EATON CORP	C
EATON VANCE CORP	E	EBAY INC	B	ECOLAB INC	A	EDISON INTL	C
EDWARDS LIFESCI	B	EHEALTH INC	E	ELANCO ANIMAL	D	ELASTIC NV	D
ELECTRONIC ARTS	B	ELI LILLY & CO	SA	EMERGENT BIOSOL	E	EMERSON ELECTRIC	C
ENBRIDGE INC	D	ENCOMPASS HEALTH	E	ENERGY SELECT	D	ENERGY TRANSFER	B
ENPHASE ENERGY	D	ENTEGRIS INC	D	ENERGY CORP	C	ENTERPRISE PROD	B
ENVISTA HOLDINGS	E	EOG RESOURCES	B	EPAM SYSTEM	D	EQT CORP	E
EQUIFAX INC	C	EQUINIX INC	A	EQUINOR ASA	E	EQUITY LIFESTYLE	D
EQUITY RESIDENT	C	ERICSSON LM-ADR	C	ESSENT GROUP LTD	E	ESSEX PROP TRUST	C
ESTEE LAUDER COS	B	ETSY INC	D	EURONET WRLDWIDE	D	EVERBRIDGE INC	D
EVEREST RE GROUP	D	EVERSOURCE ENGRY	C	EXACT SCIENCES	C	EXELIXIS INC	D
EXELON CORP	B	EXPEDIA INC	C	EXPEDITORS INTL	C	EXTRA SPACE STOR	D
EXXON MOBIL CORP	SA						

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F

F5 NETWORKS INC	D	FACEBOOK INC-A	SA	FACTSET RESEARCH	D	FARFETCH LTD	E
FASTENAL COMPANY	C	FASTLY INC	E	FEDERAL REALTY	D	FEDEX CORP	B
FIBROGEN INC	E	FIDELITY NAT FIN	D	FIDELITY NTL	A	FIFTH THIRD BNCP	C
FINL SELECT SECT	D	FIRST AMERICAN	E	FIRST IND REALTY	E	FIRST REPUBLIC	D
FIRST SOLAR INC	D	FIRSTENERGY CORP	C	FISERV INC	A	FIVE BELOW	D
FLEETCOR TECHNO	C	FLEX LTD	D	FLIR SYSTEM INC	D	FLOOR & DECOR	D
FLOWSERVE CORP	E	FMC CORP	D	FOOT LOCKER INC	E	FORD MOTOR CO	B
FORTINET INC	B	FORTIVE CORP	D	FORTUNE BRANDS	D	FOX CORP	D
FOX CORP CL-A	C	FRANCO-NEVADA	C	FRANKLIN RES INC	D	FREEPOR-MCMORAN	C
FRESHPET INC	E	FST TR DJ INTRNT	E	FTI CONSULTING	E		

G

GAMING AND LEISU	D	GAP INC	E	GARMIN LTD	C	GARTNER INC	E
GCI LIBERTY-CL A	E	GENERAC HLDG INC	D	GENERAL DYNAMICS	B	GENERAL ELECTRIC	A
GENERAL MILLS	C	GENERAL MOTORS	B	GENMAB A/S-SP AD	C	GENPACT LTD	E
GENTEX CORP	D	GENUINE PARTS CO	D	GILEAD SCIENCES	A	GLAXOSMITHKL-ADR	A
GLOBAL BLOOD TH	E	GLOBAL PAYMENTS	C	GLOBANT SA	E	GLOBE LIFE INC	D
GLOBUS MEDICALI	D	GODADDY INC	D	GOLDMAN SACHS GP	A	GRAND CANYON EDU	E
GRIFOLS SA - ADR	E	GROCERY OUTLET	E	GRUBHUB INC	D	GUARDANT HEALTH	D
GUIDEWIRE SOFT	E						

H

H & R BLOCK	E	HAEMONETICS	E	HAIN CELESTIAL	E	HALLIBURTON (US)	C
HANESBRANDS INC	E	HARLEY DAVIDSON	E	HARTFORD FINL	C	HASBRO INC	D
HCA HEALTHCARE	C	HD SUPPLY HLDGS	E	HDFC BANK-ADR	A	HEALTH CARE SEL	C
HEALTHCARE RL T	E	HEALTHCARE TRUST	E	HEALTHEQUITY INC	E	HEALTHPEAK PROP	C
HEICO CORP	D	HENRY SCHEIN INC	D	HERSHEY CO	C	HESS CORP	D
HEWLETT PACKARD	D	HEXCEL CORP	E	HIGHWOODS PPTY	E	HILL-ROM HOLDING	E
HILTON WORLDWIDE	C	HOLLYFRONTIER CO	D	HOLOGIC INC	C	HOME DEPOT INC	SA
HONDA MOTOR-ADR	D	HONEYWELL	A	HORIZON PHARMA	D	HORMEL FOODS CO	C
HOST HOTELS & RE	D	HP INC	C	HSBC HLDGS-ADR	D	HUAZHU GROUP	C
HUBBELL INC	E	HUBSPOT INC	D	HUDSON PAC PPTY	E	HUMANA INC	B
HUNTINGTON BANCS	D	HUNTINGTON INGAL	D	HUYA INC-ADR	E	HYATT HOTEL-CL A	E

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I

IAC INTERACTIVE	B	IBM	A	ICICI BK-SPN ADR	B	ICON PLC	E
ICU MEDICAL	E	IDEX CORP	D	IDEXX LABS	B	IHS MARKIT LTD	C
II-VI INC	E	ILLINOIS TOOL WK	C	ILLUMINA INC	B	INCYTE CORP	B
IND SELECT SPDR	E	INFOSYS LTD-ADR	E	ING GROEP NV-ADR	C	INGERSOLL RAND	D
INGREDION INC	E	INPHI CORP	D	INTEGRA LIFESCI	E	INTEL CORPN	SA
INTERCONTL EXCHG	B	INTERPUBLIC GRP	D	INTL FLAV & FRAG	C	INTL PAPER CO	D
INTUIT INC	A	INTUITIVE SURG	A	INVESCO LTD	E	INVESCO QQQ TRUS	A
INVESCO S&P 500	E	INVESCO S&P500	D	INVITATION HOMES	D	IONIS PHARMACEUT	D
IOVANCE BIOTHERA	E	IPG PHOTONICS	D	IQIYI INC-ADR	C	IQVIA HOLDINGS	C
IRON MOUNTAIN	D	ISH CORE EAFE	B	ISH CORE S&P 500	A	ISH JPM EM BOND	C
ISHARES 3-7 YEAR	C	ISHARES 7-10YR	C	ISHARES B 1-3Y B	B	ISHARES BARCLAYS	B
ISHARES CORE	C	ISHARES CORE DIV	C	ISHARES CORE HIG	E	ISHARES CORE INT	D
ISHARES CORE S&P	C	ISHARES CORE S&P	E	ISHARES CORE S&P	C	ISHARES CORE U.S	A
ISHARES CORE US	C	ISHARES EDGE MSC	D	ISHARES IBOXX \$	B	ISHARES MBS ETF	B
ISHARES MID-CAP	C	ISHARES MSCI ACW	D	ISHARES MSCI EAF	C	ISHARES MSCI EAF	E
ISHARES MSCI USA	E	ISHARES PREF&INC	D	ISHARES RUS 2000	C	ISHARES S&P 500	C
ISHARES SEL DIV	D	ISHARES SHORT TR	B	ISHARES ST CB	C	ISHARES TRUST IN	C
ISHARESRUSS	E	ISHR EDGE MSCI	C	ISHS GOLD TRUST	B	ISHS 20+ YR TREA	C
ISHS IBOXX H/Y C	B	ISHS MSCI EAFE	C	ISHS MSCI EMERG	C	ISHS MSCI-JAPAN	D
ISHS NASDAQ BIO	E	ISHS RUS 1K GWTH	C	ISHS RUS 1K INX	C	ISHS RUS 1K VALU	C
ISHS RUS 2K GWTH	E	ISHS RUS 2K VALU	E	ISHS RUS M/C GRW	D	ISHS RUS M/C IND	D
ISHS RUS3K INDEX	E	ISHS S&P 500 VAL	D	ISHS S&P M/C GRO	E	ISHS S&P NAT AMT	C
ISHS SILVER TR	E	ITAU UNIBANCO	C				

J

J2 GLOBAL INC	E	JACK HENRY & ASS	C	JACOBS ENGINEER	D	JAZZ PHARMA PLC	D
JB HUNT TRANS	C	JD.COM INC	A	JEFFERIES FINL	E	JM SMUCKER	C
JOHNSON & JOHNSN	SA	JOHNSON CONTROLS	C	JONES LANG LASA.	E	JOYY INC-ADR	D
JPMORGAN CHASE	SA	JUNIPER NETWORK	D				

K

KANSAS CITY S	C	KB HOME	E	KE HOLDINGS INC	C	KELLOGG CO	C
KEURIG DR PEPPER	C	KEYCORP	D	KEYSIGHT TECH	C	KILROY REALTY	E
KIMBERLY-CLARK	B	KIMCO REALTY CO.	D	KINDER MORGAN	C	KINROSS GOLD	D
KIRKLAND LAKE	E	KKR & CO LP	D	KLA CORPORATION	B	KNIGHT-SWIFT TRA	D
KOHL'S CORP	E	KONINKLIJKE PHIL	C	KRAFT HEINZ CO	C	KROGER CO	B

L

L BRANDS INC	E	L3HARRIS TECHNOL	B	LABORATORY CORP	C	LAM RESEARCH	B
LAMAR AD CL A	D	LAMB WESTON	D	LANCASTER COLONY	E	LANDSTAR SYSTEM	E
LAS VEGAS SANDS	C	LATTICE SEMI.	E	LEAR CORP	E	LEGGETT & PLATT	E
LEIDOS HOLDINGS	D	LENDINGTREE INC	E	LENNAR CORP	C	LHC GROUP INC	D
LIBERTY BROAD-A	E	LIBERTY BROAD-C	D	LIBERTY GLOBAL-C	C	LIBERTY MED-A	D
LIBERTY MEDIA-C	C	LIBERTY SIRIUS-C	B	LIBERTY SIRIUSXM	C	LIFE STORAGE INC	E
LINCOLN ELECTRIC	E	LINCOLN NATL CO	D	LINDE PLC	A	LIVE NATION ENT	C
LKQ CORP	D	LOCKHEED MARTIN	A	LOEWS CORP	D	LOGITECH INT-REG	C
LOWES COMPANIES	A	LPL FIN HLDS INC	D	LUFAX HOLDING	B	LULULEMON ATHLET	B
LUMEN TECHNOLOGI	C	LUMENTUM HLDGS	D	LYFT INC-A	D	LYONDELLBAS-CL A	C

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M

M&T BANK CORP	D	MAGELLAN MIDST	C	MANPOWERGROUP	E	MANULIFE FINL	E
MARATHON OIL	E	MARATHON PETROL	B	MARKEL CORP	D	MARKETAXESS HDGS	C
MARRIOTT INTL-A	B	MARRIOTT V WORLD	E	MARSH & MCLENNAN	B	MARTIN P80	D
MARVELL TECH GRP	B	MASCO CORP	D	MASIMO CORP	C	MASTERCARD - CLA	SA
MATCH GROUP INC	C	MAXIM INTEGRATED	C	MAXIMUS INC	E	MCCORMICK & CO	C
MCDONALD'S CORP	SA	MCKESSON CORP	C	MDU RESOURCES	E	MEDICAL PROP	D
MEDPACE HLDGS	E	MEDTRONIC PLC	SA	MELCO RESORT ADR	D	MERCADOLIBRE INC	B
MERCK & CO	SA	MERCURY SYSTEMS	E	METLIFE INC	C	METTLER TOLEDO	C
MGM RESORTS INTL	D	MICROCHIP TECHNO	B	MICRONTECH	B	MICROSOFT CORPN	SA
MID-AMER APT COM	C	MIDDLEBY CORP	E	MIRATI THERAPEU	E	MKS INSTRUMENTS	E
MOBILE TELESYST.	D	MODERNA INC	B	MOHAWK INDUS INC	E	MOLINA HEALTH	C
MOLSON COORS BRE	D	MOMO INC-ADR	E	MONDELEZ INT INC	A	MONOLITHIC POWER	D
MONSTER BEVERAGE	B	MOODY'S CORP	B	MORGAN STANLEY	A	MOSAIC CO	E
MOTOROLA SOL	C	MSA SAFETY INC	E	MSCI INC-A	C		

N

NASDAQ INC	C	NAT OILWELL	E	NATERA INC	E	NATIONAL FUEL GAS	E
NATIONAL GRI-ADR	D	NATIONAL RETAIL	D	NEKTAR THERAP.	E	NETAPP INC	D
NETEASE.COM ADR	B	NETFLIX INC	SA	NEUROCRINE BIOSC	C	NEW ORIENTAL EDU	B
NEW RELIC INC	E	NEW RESIDENTIAL	E	NEW YORK COMM	E	NEWELL BRANDS	E
NEWMONT CORP	B	NEWS CORP CLS A	E	NEXSTAR MEDIA -A	E	NEXTERA ENERGY	SA
NICE LTD-SPONADR	C	NIELSEN HOLDINGS	E	NIKE INC-CL B	SA	NISOURCE INC	D
NOKIA CORP-ADR	B	NORDSON CORP	C	NORFOLK STH CORP	C	NORTHERN TRUST	C
NORTHROP GRUMMAN	B	NORTONLIFELOCK	C	NORWEGIAN CRUISE	E	NOVARTIS AG-ADR	A
NOVOCURE LTD	D	NOVO-NORDISK ADR	B	NRG ENERGY INC	D	NUANCE COMMS INC	D
NUCOR CORP	D	NUTRIEN LTD,NTR	E	NUVASIVE INC	E	NVIDIA CORP	SA
NVR INC	C	NXP SEMICONDUCT	B	NY TIMES CO CL-A	D		

O

OCCIDENTAL PETRO	C	OGE ENERGY CORP	D	OKTA INC	B	OLD DOMINION FRT	C
OLD REPUBLIC	E	OLLIE'S BARGAIN	D	OMEGA HEALTHCARE	D	OMNICOM GROUP	C
ON SEMICONDUCTOR	D	ONE GAS INC	E	ONEOK INC	C	ORACLE CORP	SA
O'REILLY AUTOMOT	B	OTIS WORLDWIDE	C	OWENS CORNING	D		

P

P G & E CORP	E	PACCAR INC	B	PACKAGING CORP	D	PAGSEGURO DIGITA	E
PALO ALTO NETWRK	B	PARKER HANNIFIN	C	PARSLEY ENERGY	E	PAYCHEX INC	B
PAYCOM SOFTWARE	C	PAYLOCITY HLDG	D	PAYPAL HOLDINGS	SA	PEGASYSTEMS INC	E
PELTON INTERACT	C	PEMBINA PIPELINE	C	PENN NAT.GAMING	E	PENTAIR PLC	E
PENUMBRA INC	E	PEOPLE'S UNITED	E	PEPSICO INC	SA	PERFORMANCE FOOD	E
PERKINELMER INC	D	PERRIGO CO PLC	E	PETROLEO BR-ADR	B	PETROLEO-ADR	B
PFIZER INC	SA	PHILIP MORRIS IN	B	PHILLIPS 66	B	PHYSICIANS REIT	E
PIMCO ENHANCED S	C	PINDUODUO INC	A	PINNACLE WEST CA	D	PINTEREST INC	C
PIONEER NATURAL	C	PLAINS ALL PIPE	D	PNC FINANCIAL	B	POLARIS IND	D
POOL CORP	C	POPULAR INC	E	POST HLDGS	E	PPG INDUSTRIES	C
PPL CORPORATION	C	PRA HEALTH SCIEN	D	PRINCIPAL FINAN	D	PROCTER & GAMBLE	SA
PROGRESSIVE CORP	B	PROLOGIS	B	PROOFPOINT INC	D	PRUDENTIAL FINL	C
PTC INC	D	PTC THERAPEUTICS	E	PUBLIC STORAGE	C	PUBLIC SVC EN GP	C
PULTE GROUP	D	PVH CORP	E				

Q

Q2 HOLDINGS INC	E	QORVO INC	C	QTS REALTY TRUST	E	QUALCOMM INC	A
QUALYS INC	E	QUANTA SERVICES	E	QUEST DIAGNOSTIC	C	QUIDEL CORP	D

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R

RALPH LAUREN	D	RAYMOND JAMES	D	RAYTHEON TECH	A	REALPAGE INC	D
REALTY INCOME	C	REATA PHARMACEUT	E	REDFIN CORP	E	REGENCY CENTERS	D
REGENERON PHARMA	A	REGIONS FIN CORP	D	REINSURANCE GRP	D	RELIANCE ST & AL	E
RENAISSANCERE	D	REPLIGEN CORP	D	REPUBLIC SERVICE	C	RESMED INC	C
RESTAURANT BRAND	C	REXFORD INDUSTRI	E	RINGCENTRAL IN-C	B	RIO TINTO-ADR	A
ROBERT HALF INTL	D	ROCKWELL AUTOMAT	C	ROKU INC	C	ROLLINS INC	D
ROPER TECHNOLOG	B	ROSS STORES	B	ROYAL CARIBBEAN	C	ROYAL DUTCH-ADRA	SA
ROYAL DUTCH-ADRB	SA	ROYAL GOLD INC	D	RPM INT'L INC	E	RYANAIR HLDS-ADS	C

S

S&P GLOBAL INC	A	SALESFORCE.COM	SA	SANOFI -ADR	B	SAP SE-SPON ADR	B
SAREPTA THERAPEU	C	SBA COMMUNICAT	B	SCHLUMBERGER LTD	B	SCHRODINGER INC	E
SCHWAB INTL EQU	D	SCHWAB U.S. LARG	D	SCHWAB US BROAD	D	SCHWAB US DVD EQ	D
SCHWAB US L CAP	C	SCIENCE APPLNS	E	SCOTTS MIRACLE-A	D	SEA LTD-ADR	B
SEAGATE TECH	C	SEAGEN INC	B	SEI INVESTMENTS	D	SELECT SPDR-UTIL	D
SEMPRA ENERGY	C	SERVICE CORP	E	SERVICENOW INC	A	SHERWIN-WILLIAMS	B
SHOPIFY INC - A	A	SIGNATURE BANK	D	SILICON LAB INC	E	SIMON PROPERTY	C
SKECHERS	E	SKYWORKS SOLN	B	SL GREEN REALTY	E	SLACK TECH	B
SMARTSHEET INC	D	SMILEDIRECTCLUB	E	SMITH&NEPHEW ADR	D	SNAP INC-A	B
SNAP-ON INC	D	SNOWFLAKE INC	D	SOLAREDGE TECH	D	SONY CORP-ADR	B
SOUTHERN CO	B	SOUTHWEST AIRLNE	B	SOUTHWEST GAS	E	SPDR 500 ETF	A
SPDR BBG BARC	C	SPDR BBG BARC HI	C	SPDR DIVIDEND	D	SPDR DJIA TRUST	C
SPDR GOLD SHARES	A	SPDR S&P MIDCAP	D	SPLUNK INC	B	SPOTIFY TECHNOLO	B
SPROUTS FARMERS	E	SS&C TECH. INC	C	STAMPS.COM INC	E	STANLEY B&D INC	C
STARBUCKS CORP	A	STARWOOD PROPERT	E	STATE STREET	C	STEEL DYNAMICS	D
STERICYCLE INC	E	STERIS CORPN	D	STMICROELECTRO	C	STONECO LTD-A	D
STORE CAPITAL	D	STRYKER CORP	B	SUN COMMUNITIES	D	SUN LIFE FIN INC	E
SUNCOR ENERGY	B	SVB FINANCIAL GR	C	SYNCHRONY FIN	C	SYNEOS HEALTH IN	E
SYNOPSIS INC	B	SYSCO CORP	B				

T

T ROWE PRICE GRP	B	TAIWAN SEMIC-ADR	SA	TAKE-TWO INTERAC	C	TAL EDU GRP-ADR	B
TAPESTRY INC	E	TARGA RES CORP	E	TARGET CORP	B	TATA MOTORS-ADR	E
TC ENERGY CORP	D	TE CONNECTVIITY	C	TECH SELECT SECT	C	TECHNIPFMC PLC	E
TELADOC HEALTH	C	TELEDYNE TECHS	D	TELEFLEX INC	D	TELUS CORPN	D
TENARIS S.A-ADS	D	TERADYNE INC.	C	TESLA INC	SA	TETRA TECH INC	E
TEVA PHARMAC-ADR	D	TEXAS INSTRUMENT	SA	TEXAS RDHSE CL-A	E	TEXTRON INC	E
THERMO FISHER	A	THOMSON REUTERS	E	THOR INDUSTRIES	E	THREE M COMPANY	A
TIFFANY AND CO	C	TJX CO. INC	A	T-MOBILE US INC	SA	TOLL BROTHERS	E
TOPBUILD CORP	E	TORO CO	E	TORONTO DOMIN BK	C	TOTAL SE-ADR	A
TOYOTA MOTOR-ADS	D	TRACTOR SUPPLY	C	TRADE DESK INC-A	C	TRADEWEB MKT	D
TRANE TCHNLG	C	TRANSDIGM GROUP	C	TRANSUNION	D	TRAVELERS CO INC	C
TREX COMPANY	D	TRIMBLE NVGT LTD	D	TRIP.COM-ADR	C	TRUST FINANCIAL	C
TWILIO INC-A	B	TWITTER INC	B	TYLER TECH	C	TYSON FOOD INC-A	C

U

UBER TECH	A	UBS GROUP AG	C	UDR INC	D	UGI CORP	D
ULTA BEAUTY INC	C	ULTRAGENYX PHARM	E	UNDER ARMOUR-A	E	UNDER ARMOUR-C	E
UNILEVER N V	A	UNILEVER PLC ADR	B	UNION PACIFIC	A	UNITED AIRLINES	D
UNITED BANKSHARE	E	UNITED RENTALS	C	UNITED THER.CORP	D	UNITEDHEALTH GRP	SA
UNIVERSAL DISPLA	D	UNIVERSAL HEALTH	D	UNUM GROUP	E	US BANCORP	B
US FOODS HOLDING	E	UTD MICROELE-ADR	C	UTD PARCEL SVC-B	B		

Counter Limit (Valuation) for Cat A is USD10,000,000 (100%), Cat B is USD5,000,000 (100%), Cat C is USD1,000,000 (70%), Cat D is USD700,000 (70%), Cat E is USD43,000 (43%), Cat SA is USD20,000,000 (100%)

At company's discretion, we may vary the advance ratio and/or counter limit for the same category.

LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 17 December 2020

(Sorted by Alphabetical Order)

SECURITY NAME / CATEGORY CODE

GLOBAL							
MARKET : US							
V							
VAIL RESORTS INC	D	VALE SA-ADR	C	VALERO ENERGY	B	VANECK VECT GOLD	D
VANG S/T CP BOND	B	VANGD FTSE EURO	D	VANGD HIGH DVD	C	VANGUARD D A ETF	C
VANGUARD ETF	B	VANGUARD FTSE	B	VANGUARD FTSE EM	C	VANGUARD GR ETF	C
VANGUARD HEALTH	D	VANGUARD INF ETF	C	VANGUARD INT-T B	C	VANGUARD INT-T B	B
VANGUARD LARGE	D	VANGUARD M-C ETF	C	VANGUARD MID-CAP	E	VANGUARD MRTG	C
VANGUARD REIT	C	VANGUARD S&P 500	A	VANGUARD S-C GRO	D	VANGUARD SM-CAP	D
VANGUARD SM-CAP	C	VANGUARD TOAL IN	C	VANGUARD TOTAL	A	VANGUARD TOTAL	B
VANGUARD TTL B M	B	VANGUARD VALUE	C	VANGUARD WORLD	D	VARIAN MEDICAL	D
VEEVA SYS CL A	B	VENTAS INC	C	VEREIT INC	D	VERISIGN INC	C
VERISK ANALYTICS	B	VERIZON COMM.	SA	VERTEX PHARM INC	A	VF CORP	C
VG FTSE EX-US	C	VIACOMCBS-CL B	C	VICI PROPERTIES	D	VIPSHOP HLDGS	D
VIR BIOTECH	E	VIRGIN GALACTIC	E	VIRTU FINANCIAL	E	VISA INC-CLASS A	SA
VISTRA ENERGY	D	VMWARE INC-CL A	C	VODAFONE GRP S/A	B	VORNADO REALTY	D
VOYA FINANCIAL	E	VULCAN MATERIALS	C				
W							
WABTEC CORP	D	WALGREENS BOOTS	B	WAL-MART STORES	SA	WALT DISNEY CO	SA
WASTE MANAGEMENT	C	WATERS CORPN	C	WEC ENERGY GRP	C	WEIBO CORP-SPON	D
WELLS FARGO & CO	SA	WELLTOWER INC	C	WENDY'S CO/THE	E	WERNER ENTERPRIS	E
WEST PHARM SVCS	C	WESTERN DIGITAL	C	WESTERN MIDSTREA	E	WESTERN UNION	D
WESTROCK CO	D	WEX INC	D	WEYERHAEUSER CO	D	WHEATON PREC	D
WHIRLPOOL CORP	D	WILLIAMS COS INC	C	WILLIAMS SONOMA	D	WILLIS TOWERS	B
WOODWARD INC	E	WORKDAY INC	B	WP CAREY INC	D	WPX ENERGY INC	E
WR BERKLEY CORP	D	WW GRAINGER INC	D	WYNDHAM HOTELS &	E	WYNN RESORTS LTD	D
X							
XCEL ENERGY INC	B	XEROX	E	XILINX INC	B	XP INC - CLASS A	E
XPO LOGISTICS	D	XYLEM INC	D				
Y							
YAMANA GOLD	E	YANDEX NV-A	D	YPF SA-ADR	E	YUM CHINA HLDGS	C
YUM! BRANDS INC	B						
Z							
ZEBRA TECH- A	C	ZENDESK INC	D	ZILLOW GROUP	C	ZILLOW GRP CL A	D
ZIMMER BIOMET	C	ZIONS BANCORP	D	ZOETIS INC	B	ZOOM VIDEO COMM	B
ZSCALER INC	C	ZTO EXPRESS	B	ZYNGA INC	D		