UOB KAY HIAN PRIVATE LIMITED

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Office Tel No.

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TR Code Account Application – Joint - Alternate Types of Accounts - You may open more than one type of account. - Securities Trading Account is required in order to open account(s) under Margin Trading and Other Financial Instruments. Regular Trading* Margin Trading* Other Financial Instruments* (Please complete margin loan documents) (Please complete Customer Account Review (CAR) / ☐ Securities Trading Account Customer Knowledge Assessment (CKA) Form) - To trade Equities, Bonds, Unit Trusts, ETFs, □ Share Margin Financing ETNs, OTC products, etc ■ UTRADE Delta ■ UTRADE Edge Account □ CFDs (Equities, Indices) & Leveraged - Online cash upfront account (FX, Commodities) - To trade securities in SG, HK,MY, US Markets Please select the base currency: □ SGD ■ USD ☐ UTRADE Plus Account - Online cash upfront account □ MT4 - To trade securities in SGX only ☐ Leveraged (FX, Commodities) &CFDs (Indices) Please select the base currency: □ SGD ☐ USD ☐ Write Equity Call / Put Option (Duly Completed Form W8BEN is required for trading in the US Market) *Account is enrolled with Online Trading facility and you will only receive electronic contracts and monthly statements Joint Account Holder Main Account Holder Name (As in NRIC or Passport) Name (As in NRIC or Passport) Gender ☐ Male ☐ Female Gender □ Male ☐ Female Marital Status □ Single ■ Married ■ Others: Marital Status □ Single ■ Married ■ Others: please specify please specify NRIC/Passport No. NRIC/Passport No. ■ Malaysia ☐ Others: ☐ Others: please specify please specify Date of Birth (DD/MM/YYYY) Date of Birth (DD/MM/YYYY) Nationality Singaporean Malaysian Others: Nationality Singaporean Malaysian Others: please specify please specify ☐ US Citizen (Please complete Form W-9 and US Taxpayer Identification ☐ US Citizen (Please complete Form W-9 and US Taxpayer Identification No. below. Form W-9 is available at http://www.utrade.com.sg or from No. below. Form W-9 is available at http://www.utrade.com.sg or from our Client Services Department) our Client Services Department) US Taxpayer Identification No. US Taxpayer Identification No. Singapore Permanent Resident ■ No Singapore Permanent Resident ■ No ☐ Yes ☐ Yes Country Code Area Code / Number Country Code Area Code / Number

Home Tel No.

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Employment Details	Employment Details
Occupation	Occupation
Name of Employer	Name of Employer
Name of Employer	Name of Employer
Employer Address	Employer Address
Postal Code City / State	Postal Code City / State
Country	Country
Annual Income	Annual Income
□ \$\$30,000 & Below □ \$\$30,001 - \$\$60,000 □ \$\$60,001 - \$\$100,000	□ \$\$30,000 & Below □ \$\$30,001 - \$\$60,000 □ \$\$60,001 - \$\$100,000
□ \$\$100,001 - \$\$ 200,000 □ \$\$200,001 - \$\$300,000 □ Above \$\$300,000 Source of Wealth / Funds	□ \$\$100,001 - \$\$ 200,000 □ \$\$200,001 -\$ \$300,000 □ Above \$\$300,000 Source of Wealth / Funds
a. Source of Wealth (Refer to the origin of your total assets.)	a. Source of Wealth (Refer to the origin of your total assets.)
□ Employment □ Business Income □ Rental □ Sales of Investment	☐ Employment ☐ Business Income ☐ Rental ☐ Sales of Investment
☐ Inheritance / Gifts ☐ Others, please specify:	☐ Inheritance / Gifts ☐ Others, please specify:
 Source of Funds (Refer to the origin of the funds or other assets which are the subject of the requested transactions.) 	 Source of Funds (Refer to the origin of the funds or other assets which are the subject of the requested transactions.)
□ Employment □ Business Income □ Rental □ Sales of Investment □ Inheritance / Gifts □ Others, please specify:	□ Employment □ Business Income □ Rental □ Sales of Investment □ Inheritance / Gifts □ Others, please specify:
Net Worth (excluding residences and retirement assets)	Net Worth (excluding residences and retirement assets)
□ S\$50,000 & Below □ S\$50,001 - \$99,999 □ S\$100,000 - \$249,999	□ \$\$50,000 & Below □ \$\$50,001 - \$99,999 □ \$\$100,000 - \$249,999
□S \$250,000 - \$ 499,999 □ S\$500,000 - \$1,000,000 □ More than S\$1,000,000	□S \$250,000 - \$ 499,999 □ S\$500,000 - \$1,000,000 □ More than S\$1,000,000
Educational Qualifications ☐ Tertiary ☐ Diploma ☐ GCE 'A' / 'O' ☐ Primary	Educational Qualifications ☐ Tertiary ☐ Diploma ☐ GCE 'A' / 'O' ☐ Primary
	□ Others, please specify:
□ Others, please specify: Settlement of Accounts	Officers, please specify.
i) Securities Trading Account	ii) UTRADE Edge and/or UTRADE Plus Account
☑ Standing Authorisation (SA) Please deposit any amounts that are due to me, including but not limited to sale	☑ Standing Authorisation (SA) Please deposit any amounts that are due to me, including but not limited to sale
proceeds, contra gains, dividends, and coupon payments that are due to me into my Trust Account in the settlement currency indicated on the contract note, contra	proceeds, contra gains, dividends, and coupon payments that are due to me into my Trust Account in the settlement currency indicated on the contract note, contra
statement, or payment advice from UOBKH, and withdraw from my Trust Account for	statement, or payment advice from UOBKH, and withdraw from my Trust Account for
settlement of whatever money due to UOBKH, including but not limited to purchases of stocks and shares, contra losses incurred by me, fees payable with respect to my	settlement of whatever money due to UOBKH, including but not limited to purchases of stocks and shares, contra losses incurred by me, fees payable with respect to my
transactions/accounts with UOBKH and/or payments for subscriptions/corporate actions that I instruct UOBKH to undertake on my behalf.	transactions/accounts with UOBKH and/or payments for subscriptions/corporate actions that I instruct UOBKH to undertake on my behalf.
I am aware that this arrangement will not apply (for SGD and/or USD and/or other	I am aware that this arrangement will not apply (for SGD and/or USD and/or other
currencies) if other mode of settlement (GIRO, EPS or TT) has been selected.	currencies) if other mode of settlement (GIRO, EPS or TT) has been selected.
Please select ONE of the following if you wish to opt out of default settlement mode: a. Electronic Payment System (EPS)	
Please link my designated Bank Account as indicated below to my trading account to enable me to make payment for purchases or contra losses, and to receive sale	
proceed or contra gains arising from my securities transactions.	
□UOB □ICB □ DBS □OCBC □ Bank of Singapore □Citibank □Maybank Bank Account No	
b. ☐ GIRO (please attach Bank GIRO Application Form)	
GIRO Bank Account No	* Cash, cheque, bill payment and telegraphic transfer are accepted for all trading
	accounts.

Application to Open Depository Agent Sub-account(s)							
a. Securities Trading Account Developing to each Paragitary Agent Sub-account for LICE Key High to cofelean your SCV listed shares instead of CDR2. Developing the CDR2. Develop							
i) Do you wish to open Depository Agent Sub-account for UOB Kay Hian to safekeep your SGX-listed shares instead of CDP?							
ii) □ Please provide the CDP (Central Depository Pte Ltd) account number							
By providing your CDP Securities Account Number, you agree to and hereby authorise UO settlement of securities for sales and purchases done in your trading account. In consideral							
trading account, you hereby agree to comply with, and be bound by the CDP Terms and Co							
iii) ☐ Trade foreign shares ☐ Trade under CPFIS/SRS							
b. Please note that a Depository Agent Sub-account will be opened for each of the followin	g trading account(s) that you apply for.						
- Share Margin Financing Account - UTRADE Edge Account - UTRADE Plus Account							
Account Relationship Details	Account Relationship Details						
1. Are you related to any employee / trading representative of UOB Kay Hian?	1. Are you related to any employee / trading repres	entative of UOB Kay Hian?					
☐ Yes (please provide details below) ☐ No	☐ Yes (please provide details below)	□ No					
Name Relationship	Name	•					
Name Relationship	Name						
Are there any trading account(s) in UOB Kay Hian in which you have control or influence?	Are there any trading account(s) in UOB Kay Hia influence?	in in which you have control or					
☐ Yes (please provide details below) ☐ No	Yes (please provide details below) Account Name	☐ No Account No.					
Account Name Account No.	a						
a b	b						
Does any account holder in UOB Kay Hian has control or influence over this	3. Does any account holder in UOB Kay Hian has o	control or influence over this					
trading account? Yes (please provide details below) No	trading account? ☐ Yes (please provide details below)	□ No					
Account Name Account No.	Account Name	Account No.					
	a						
a							
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Representations, Warranties and Declarations By the Applicants

- 1. We, the Applicants in this Application For Trading Account(s) (the "Application Form"), hereby request that UOB Kay Hian Private Limited ("UOBKH") open trading account(s) and/or provide the services specified by us in this Application Form to us. By signing this Application Form, we hereby represent, warrant and agree that:
 - All information furnished by us in this Application Form and any information separately provided by us in connection herewith is true, accurate, complete, correct and not misleading in any respect. We agree to promptly notify UOBKH in writing in the event that the information contained in this Application Form or separately provided in connection with this application changes and we hereby consent to UOBKH collecting, using and/or disclosing any and all such information on us to such persons as UOBKH in its sole and absolute discretion deems it to be necessary or required by applicable laws and regulations.
 - 1.2 We shall execute and provide all documents and instruments (including any security documents) and do all such acts and things as may be required by UOBKH in connection with the processing of this application and the opening, operation and/or maintenance of any trading account(s) or facility established by us with UOBKH.
 - 1.3 We have read, understood, accepted and agreed to the terms and conditions set out in this Application Form, as well as the terms and conditions set out in the latest versions of the UOBKH Master Trading Agreement (printed copy can be made available on request), the Guide and Cautionary Notes in Applying For/Continuing with an Account with UOB Kay Hian Private Limited ("Guide") and the Risk Disclosure Statements for the respective financial product(s) we intend to trade/invest in, all of which as may be varied or supplemented from time to time (copies of which are available on http://www.utrade.com.sg) and which shall be fully binding upon and enforceable against us. We confirm and represent that we have taken independent advice from such advisers as we have deemed necessary or desirable in relation to this Application Form and the related documents.
 - 1.4 It is our sole responsibility to ensure the suitability for us of the financial product(s) that we have selected to trade/invest in unless we are receiving Guided or Paid Advice. Specifically, we confirm having read and agree to the contents of the 'Acknowledgement of Personal Responsibility For and Limits Of Execution-Related Advice On Listed Excluded Investment Products' in the body of the Guide and in particular the warning to us in the contents of such acknowledgement.
 - 1.5 We have read and agreed to the terms and conditions relating to Electronic Payment for Shares (EPS) service as stated in the Terms and Conditions for the Application for EPS. A copy is available on http://www.utrade.com.sg.
 - 1.6 The submission and acceptance of this Application Form in no way implies approval for the opening of the trading account(s) and that UOBKH reserves the sole and absolute discretion to approve or reject this application without giving any reasons whatsoever.
- 2. We are 18 years of age or over and hereby declare that we are not an undischarged bankrupt(s), nor are we unable to pay our debts as they fall due.
- 3. We agree that by accessing the Internet Trading facility provided by UOBKH, we are to be bound by and accept the terms and conditions (which may be varied from time to time) as stated in the Terms and Conditions Governing Internet Trading. A copy is available on http://www.utrade.com.sg.
- 4. We declare that we are the sole legal and beneficial owners of the trading account(s) and we are not acting as agent or nominee for any other person(s) or entity.
- 5. We shall be held jointly and severally liable with the other to UOBKH. Any one of us is authorized to give orders to buy and sell shares, give settlement instructions and receive scrips / payment which are in the joint account name from UOBKH. Payments made by UOBKH shall be in the joint names indicated on the Application form.
- 6. We have full authority and power and legal capacity to sign this Application Form, to maintain and operate the trading account(s), to effect the transactions for the trading account(s) mentioned above and to accept the terms of the services contemplated by UOBKH's Master Trading Agreement.
- 7. We agree at all times to abide by the Rules and Regulations of the Singapore Exchange Securities Trading Limited and the stock exchanges of any other markets that we shall be trading in.
- 8. We hereby authorise and consent to update the information provided in this application to all our trading account(s) maintained with UOBKH.

Share Margin Financing Facility, where applicable

- 1. We agree to abide by the Margin Trading Facility Terms, Terms and Conditions Applicable To Margin Trading and Memorandum of Charge as may be amended from time to time.
- 2. We understand that you reserve the right at your absolute discretion to determine the quantum of the Margin Trading Facility and the collateral required.

Depository Agent Sub-Account, where applicable

- We agree that the operation of the depository agent sub-account shall be subject to the rules and regulations of The Central Depository (Pte) Ltd (CDP) and Rules and Byelaws of Singapore Exchange Securities Trading Limited.
- 2. We accept the charges levied by the CDP/UOBKH, which may be revised from time to time without prior notice.

Marketing Consent:

1. We agree to UOBKH, its agents and authorized service providers sending us marketing messages (including advertisements and promotions) about products and/or services offered by UOBKH and/or its business partners to our contact number(s) by way of voice call, text (SMS) and other forms of instant messaging such as Whatsapp. We are aware that we may at any time withdraw our consent for the sending of any marketing message toour contact number(s) by giving at least 10 business days' notice in writing via email, fax or letter to you.

Tax Compliance Representation and Warranty							
We hereby represent and warrant in favour of UOB Kay Hian Private Limited ("UOBKH"):							
(a)	We will ensure that we will remain in compliance with all laws to which we are subject to including, without limitation, all tax laws and regulations and exchange control requirements ("Tax Laws") at all times.						
(b)	Any and all funds and assets that ware domiciled in or tax resident or d			een and will be dealt with in full compliance with the Taubject to.	x Laws of the countries where we		
(c)							
Date	d this day of		_ 20				
Nar	nature of Main Applicant ne of Main Applicant:			Signature of Joint Applicant Name of Joint Applicant: Application witnessed/verified by:			
TR	ified By TR-UOBKH Name: Code: e:			Name: Date:			
TRA	DING LIMITS TO BE COMPLETED	BY TRADING REPRES	ENTATIVE - SECURITIE	S TRADING ACCOUNT			
Initia	I Deposit: ☐ No ☐ Yes, Am	nount SGD\$		Cash / Cheque No.			
	nits	T	mendation		-		
Se	curities Trading Limits:	SGD					
		Offline Channel	Online Channel				
	annel Limit Recommended by TR an Market	SGD %	SGD %				
	n-Asian Market	%	%				
Note	The Limit will be defaulted to Offline	channel if no recomme	ndation has been made for	or the channel and Markets will be set to 100%			
Nam	e of TR	TR Code		Signature of TR / Date			